

2021 - ISU Putnam Practice Set 9 - Solutions

Wednesday, November 24, 2021

Generating Functions

1. Find the general-term formula for the sequence $(y_n)_{n \geq 0}$ with $y_0 = 1$ and $y_n = ay_{n-1} + b^n$ for $n \geq 1$, where a, b are fixed distinct real numbers.

Solution: Let $G(x) = \sum_n y_n x^n$ be the generating function of the sequence. It satisfies the functional equation

$$(1 - ax)G(x) = 1 + bx + bx^2 + \cdots = \frac{1}{1 - bx}.$$

We find that

$$G(x) = \frac{1}{(1 - ax)(1 - bx)} = \frac{A}{1 - ax} + \frac{B}{1 - bx} = \sum_n (Aa^n + Bb^n)x^n,$$

for some A and B . It follows that $y_n = Aa^n + Bb^n$, and because $y_0 = 1$ and $y_1 = a + b$, $A = \frac{a}{a-b}$ and $B = \frac{-b}{a-b}$. The general term of the sequence is therefore

$$\frac{1}{a-b}(a^{n+1} - b^{n+1}).$$

2. Compute the sums

$$\sum_{k=0}^n k \binom{n}{k} \text{ and } \sum_{k=0}^n \frac{1}{k+1} \binom{n}{k}.$$

Solution: The first identity is obtained by differentiating

$$(x+1)^n = \sum_{k=0}^n \binom{n}{k} x^k,$$

and then setting $x = 1$. The answer is $n2^{n-1}$. The second identity is obtained by integrating the same equality and then setting $x = 1$, in which case the answer is $\frac{2^{n+1}}{n+1}$.

3. Denote by $P(n)$ the number of partitions of the positive integer n ; i.e. the number of ways of writing n as a sum of positive integers. Prove that the generating function of $P(n)$, $n \geq 1$ is

$$\sum_{n=0}^{\infty} P(n)x^n = \frac{1}{(1-x)(1-x^2)(1-x^3)\cdots}.$$

Solution: We introduce some additional parameters and consider the expansion

$$\begin{aligned} \frac{1}{(1-a_1x)(1-a_2x^2)(1-a_3x^3)\cdots} &= (1+a_1x+a_1^2x^2+\cdots)(1+a_2x^2+a_2^2x^4+\cdots)\cdots \\ &= 1+a_1x+(a_1^2+a_2)x^2+\cdots+(a_1^{\lambda_1}a_2^{\lambda_2}\cdots a_k^{\lambda_k}+\cdots)x^n+\cdots \end{aligned}$$

The term $a_1^{\lambda_1}a_2^{\lambda_2}\cdots a_k^{\lambda_k}$ that is part of the coefficient of x^n has the property that $\lambda_1+2\lambda_2+\cdots+k\lambda_k=n$; hence it defines a partition of n , namely,

$$n = 1 + 1 + \cdots + 1 + 2 + \cdots + 2 + \cdots + k + \cdots + k,$$

where there are λ_1 ones, λ_2 twos, etc. So the terms that appear in the coefficient of x^n generate all the partitions of n . Setting $a_1 = a_2 = \cdots = 1$, we obtain for the coefficient of x^n the number $P(n)$ of the partitions of n and we are done.

4. Prove that the number of ways of writing n has a sum of distinct positive integers is equal to the number of ways of writing n as a sum of odd positive numbers.

Solution: The argument of the previous problem can be applied mutatis mutandis to show that the number of ways of writing n as a sum of odd positive integers is the coefficient of x_n in the expansion of

$$\frac{1}{(1-x)(1-x^3)(1-x^5)(1-x^7)\cdots},$$

while the number of ways of writing n as a sum of distinct positive integers is the coefficient of x^n in

$$(1+x)(1+x^2)(1+x^3)(1+x^4)\cdots$$

We have

$$\frac{1}{(1-x)(1-x^3)(1-x^5)(1-x^7)\cdots} = \frac{1-x^2}{1-x} \frac{1-x^4}{1-x^2} \frac{1-x^6}{1-x^3} \cdots = (1+x)(1+x^2)(1+x^3)\cdots$$

which proves the desired equality.

5. Let p be an odd prime number. Find the number of subsets of $\{1, 2, \dots, p\}$ with the sum of the elements divisible by p

Solution: The number of subsets with the sum of the elements equal to n is the coefficient of x^n in the product

$$G(x) = (1+x)(1+x^2)\cdots(1+x^p).$$

We are asked to compute the sum of the coefficients of x^n for n divisible by p . Call this number $s(p)$. There is no nice way of expanding the generating function; instead we compute $s(p)$ using particular values of G . It is natural to try p th roots of unity.

The first observation is that if ξ is a p th root of unity, then $\sum_{k=1}^p \xi^k$ is zero except when $\xi = 1$. Thus if we sum the values of G at the p th roots of unity, only those terms with exponent divisible by p will survive. To be precise, if ξ is a p th root of unity different from 1, then

$$\sum_{k=1}^p G(\xi^k) = ps(p).$$

We are left with the problem of compute $G(\xi^k)$, for $k = 1, 2, \dots, p$. For $k = p$, this is just 2^p . For $k = 1, 2, \dots, p-1$,

$$G(\xi^k) = \prod_{j=1}^p (1 + \xi^{kj}) = \prod_{j=1}^p (1 + \xi^j) = (-1)^p \prod_{j=1}^p ((-1) - \xi^j) = (-1)^p ((-1)^p - 1) = 2.$$

We therefore have $ps(p) = 2^p + 2(p-1) = 2^p + 2p - 2$. The answer to the problem is $s(p) = \frac{2^p - 2}{p} + 2$. The expression is an integer because of Fermat's little theorem.