

# Convex Hulls

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## Outline

I. Convex sets

II. Convex hull properties

III. Graham scan

IV. Correctness and running time

V. Jarvis' march

# I. Convex Sets & Concave Sets

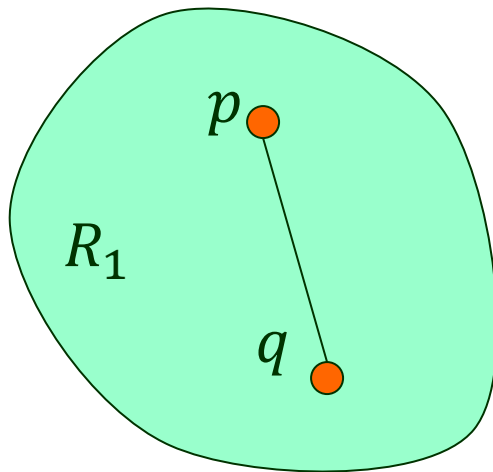
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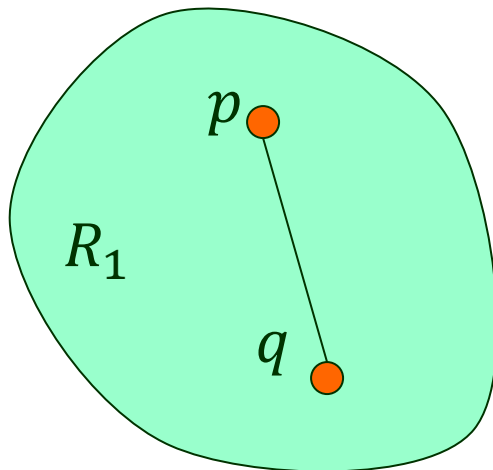
Convex

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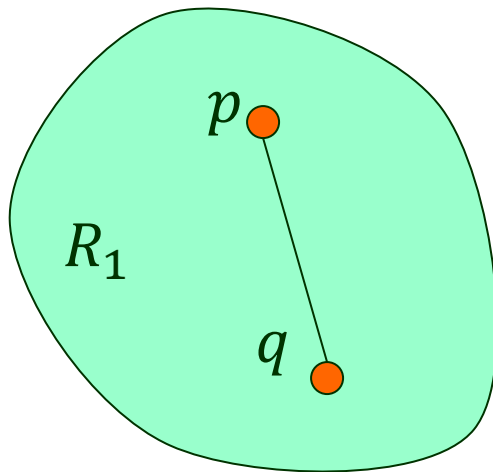
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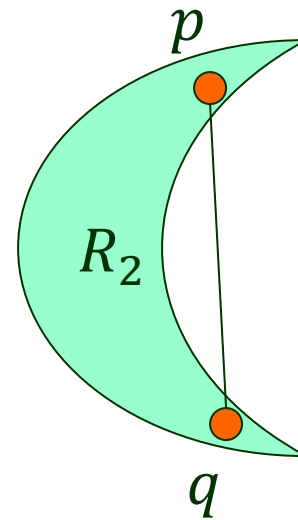
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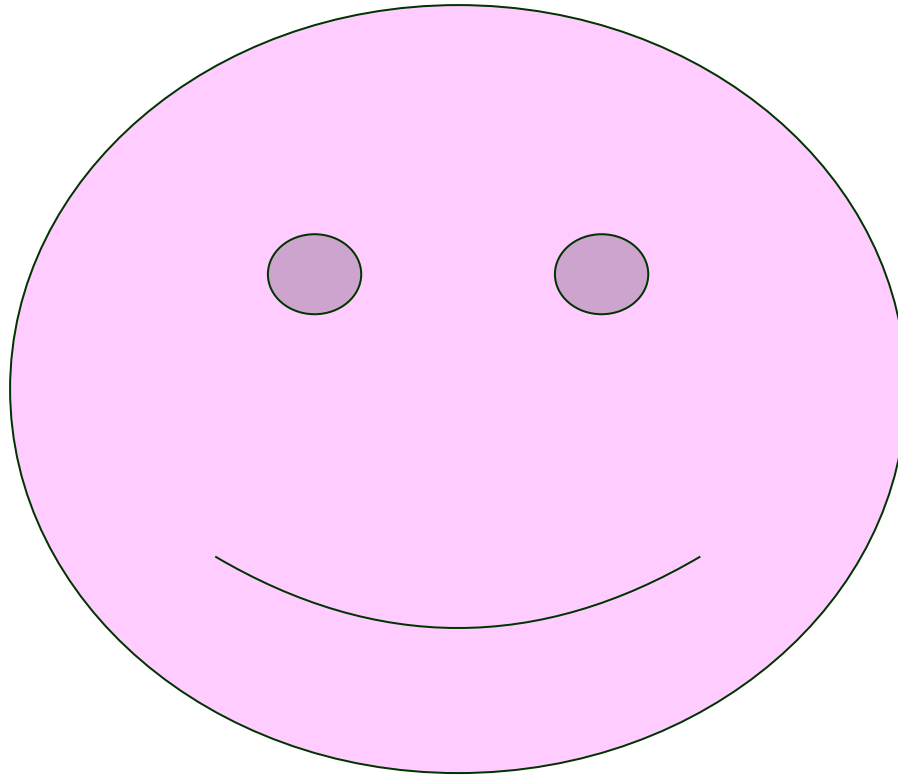
Convex



Concave

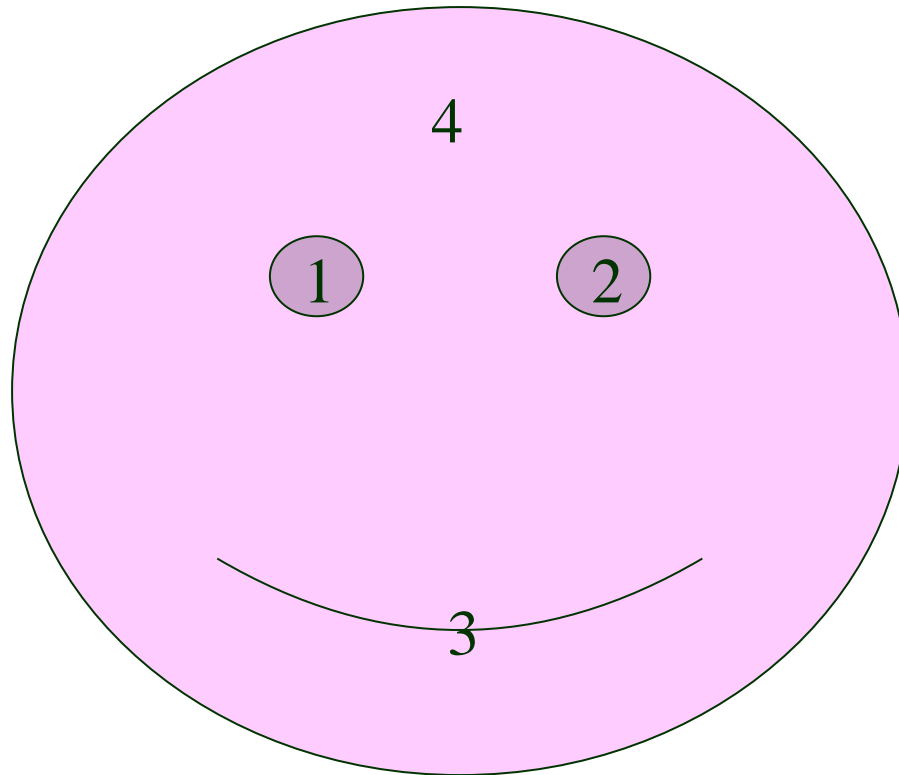
# An Example

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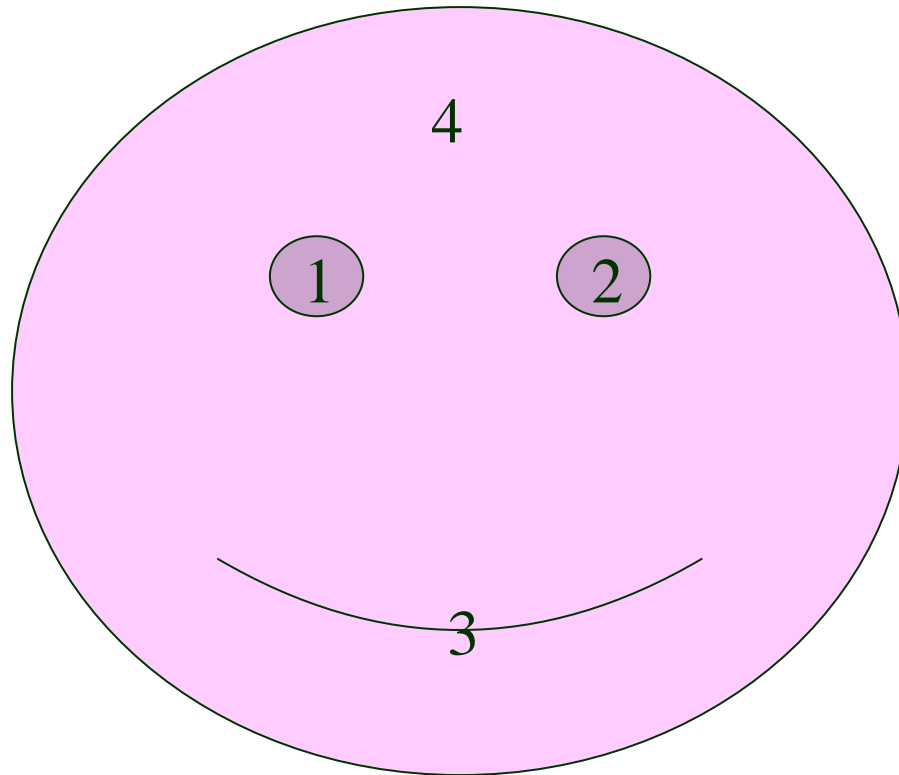
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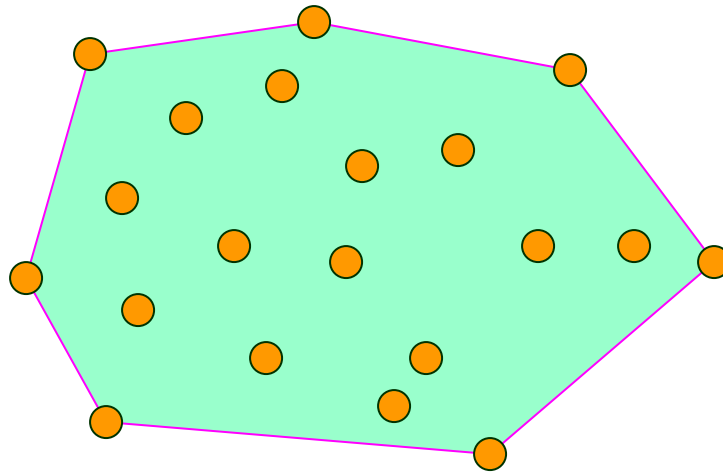
Regions 1 & 2: convex  
Regions 3 & 4: concave



# Convex Hull

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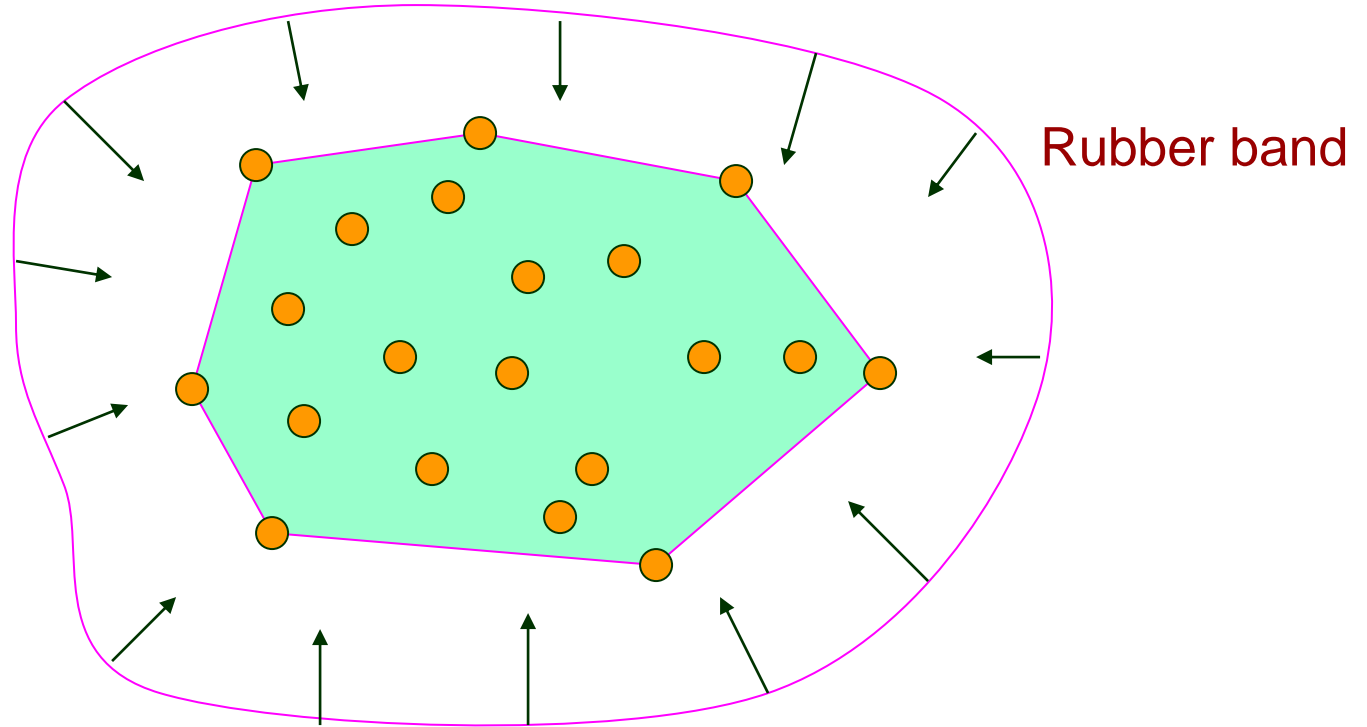
The *convex hull*  $CH(Q)$  of a set  $Q$  is the *smallest* convex region that contains  $Q$ .



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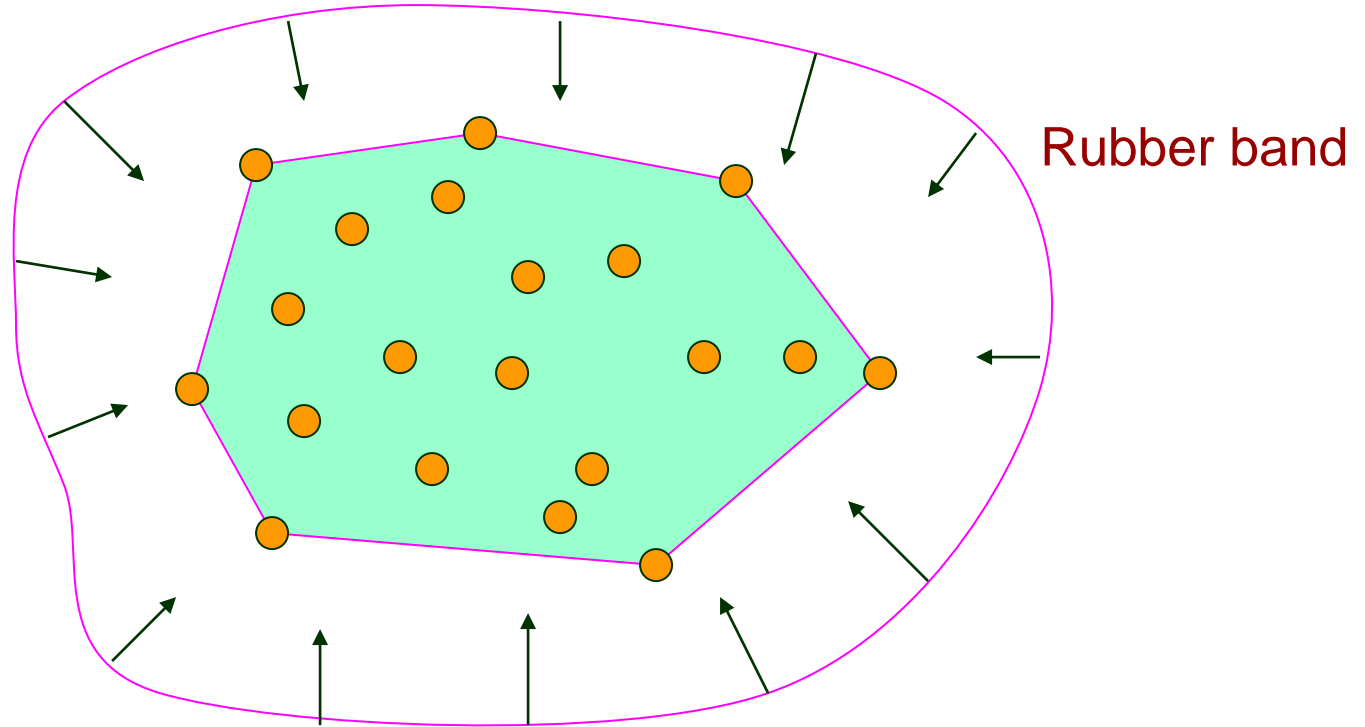
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When  $Q$  is finite, its convex hull is the unique *convex polygon* whose vertices are from  $Q$  and that contains all points of  $Q$ .

# Degenerate Cases

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- ◆ The convex hull of a single point is itself.



- ◆ The convex hull of several collinear points is the line segment joining the two extreme points.



# II. The Convex Hull Problem

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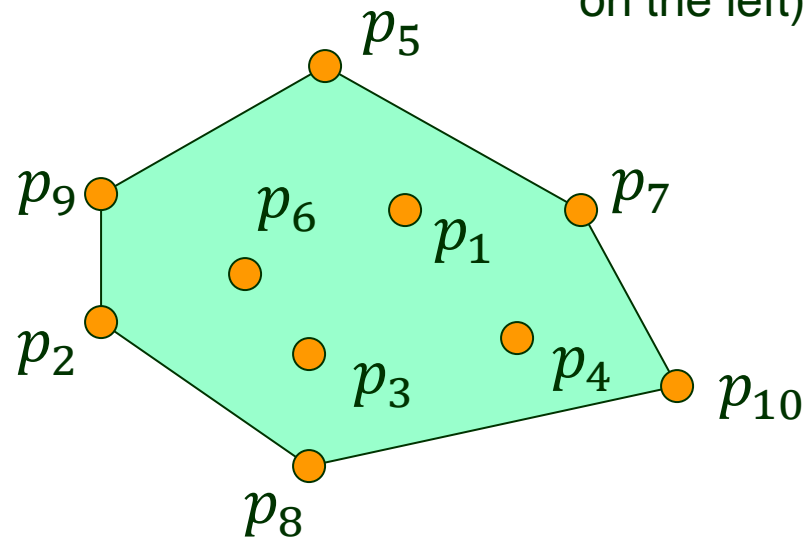
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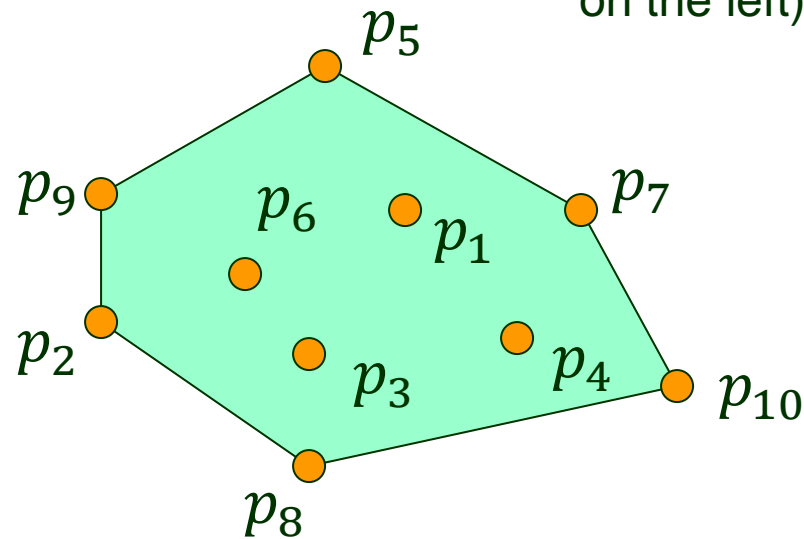
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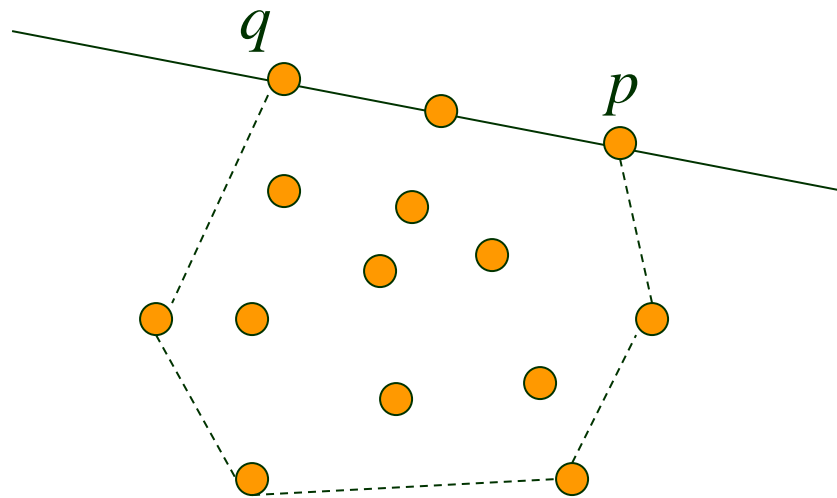
Example



Output:  $p_5, p_9, p_2, p_8, p_{10}, p_7$ .

# Edges of a Convex Hull

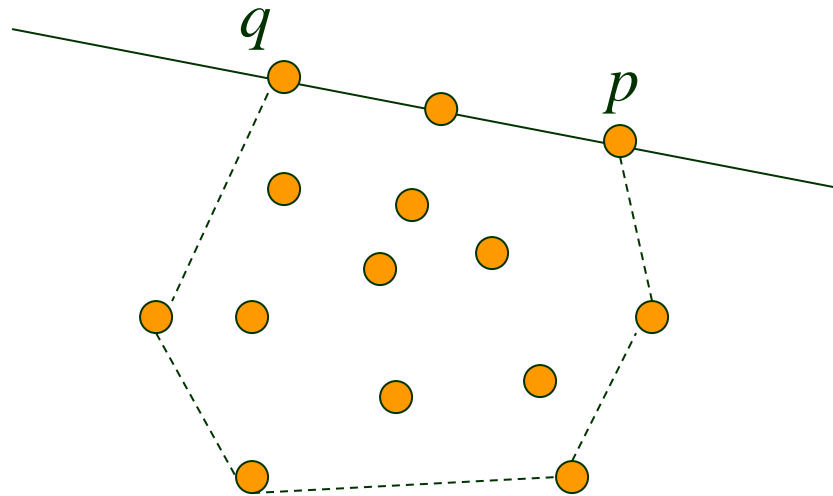
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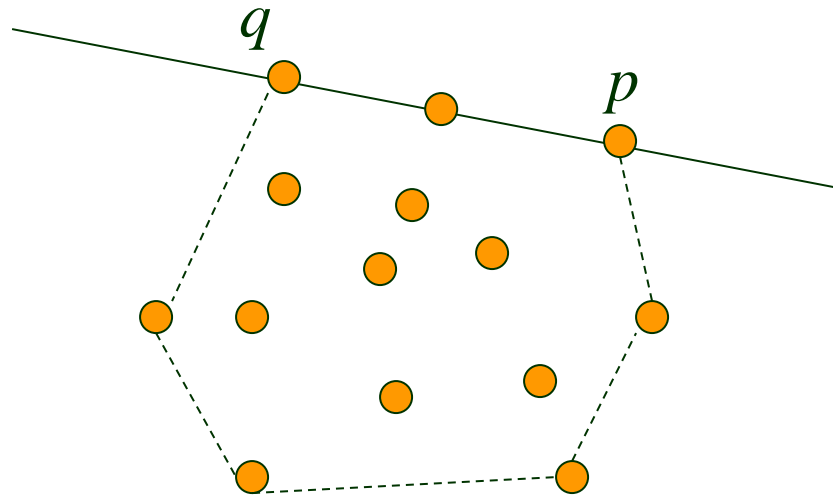
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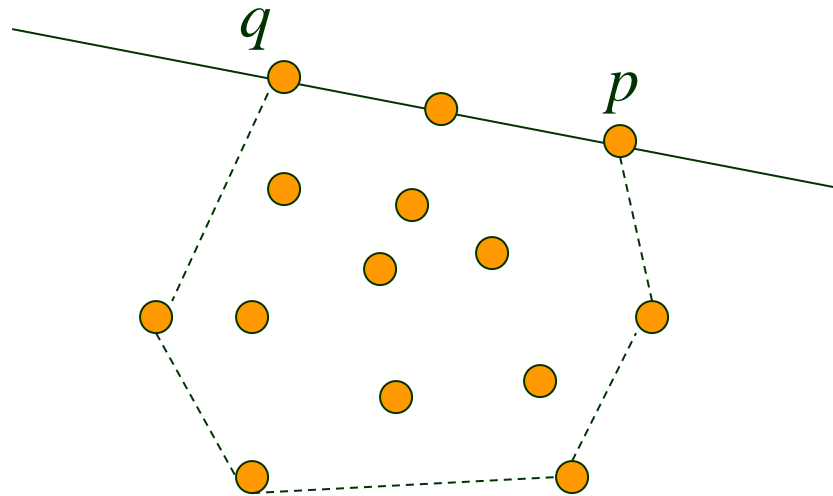
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  - ✦ between  $p$  and  $q$  if on the line.



# A Slow Convex Hull Algorithm

---

Slow-Convex-Hull( $P$ )

```
 $E \neq \{\}$  // set of directed edges of CH( $P$ ) that bounds the
           // points of  $P$  on the right.
for every ordered pair  $(p, q)$ , where  $p, q \in P$  and  $p \neq q$  //  $\Theta(n^2)$  pairs
do valid  $\leftarrow$  true
  for every point  $r \neq p$  or  $q$  //  $n - 2$  such points
  do if  $r$  lies to the right of  $\overrightarrow{pq}$  or
      collinear with  $p$  and  $q$  but not on  $\overline{pq}$ 
      then valid  $\leftarrow$  false
  if valid
  then  $E \neq E \cup \{\overrightarrow{pq}\}$  //  $\overrightarrow{pq}$  and  $\overrightarrow{qp}$  cannot be both in  $E$ 
From  $E$  construct a list  $L$  of vertices of CH( $P$ ), sorted in
counterclockwise order. //  $O(n^2)$  improvable to  $O(n \log n)$ 
return  $L$ 
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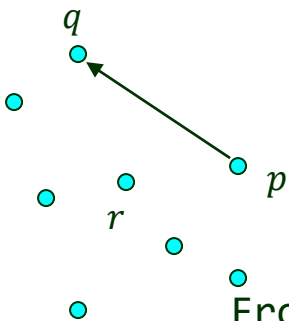
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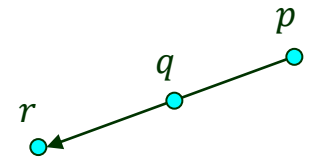
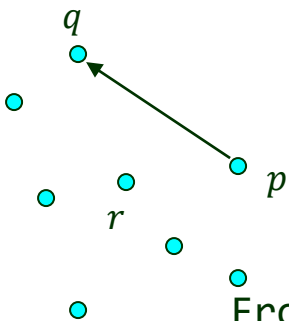
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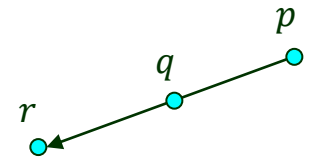
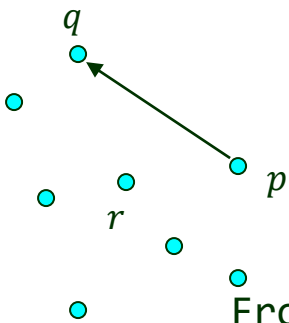
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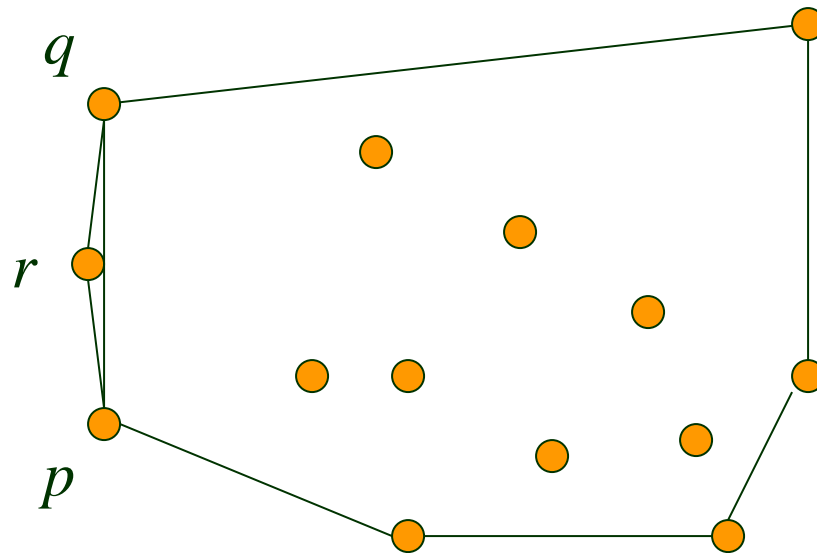


Running time  $\Theta(n^3)$

# Floating Arithmetic is not Exact!

---

Nearly colinear points  $p, q, r$ .



$p$  to the left of  $\overrightarrow{qr}$ .

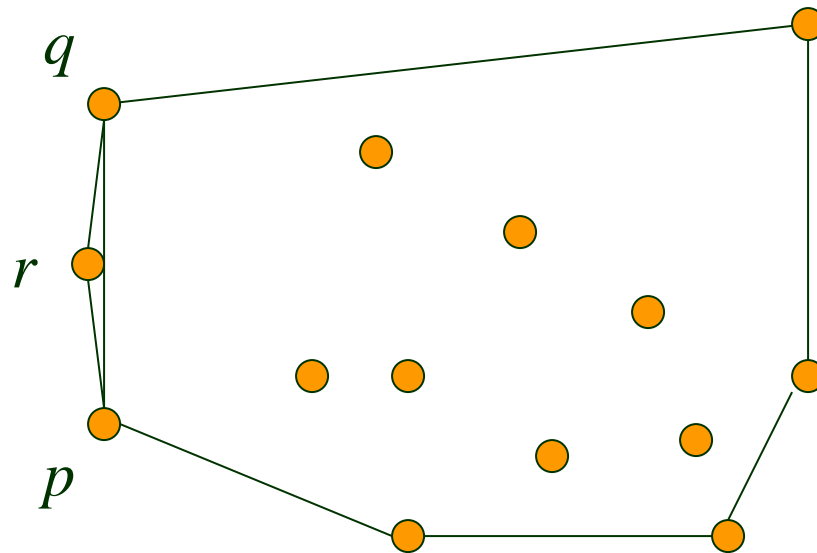
$q$  to the left of  $\overrightarrow{rp}$ .

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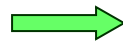
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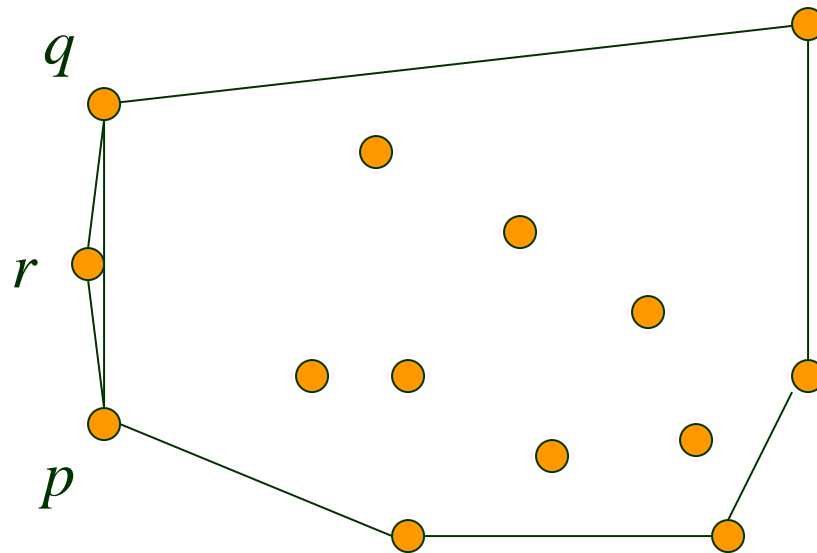
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All three are accepted as edges!

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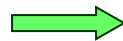
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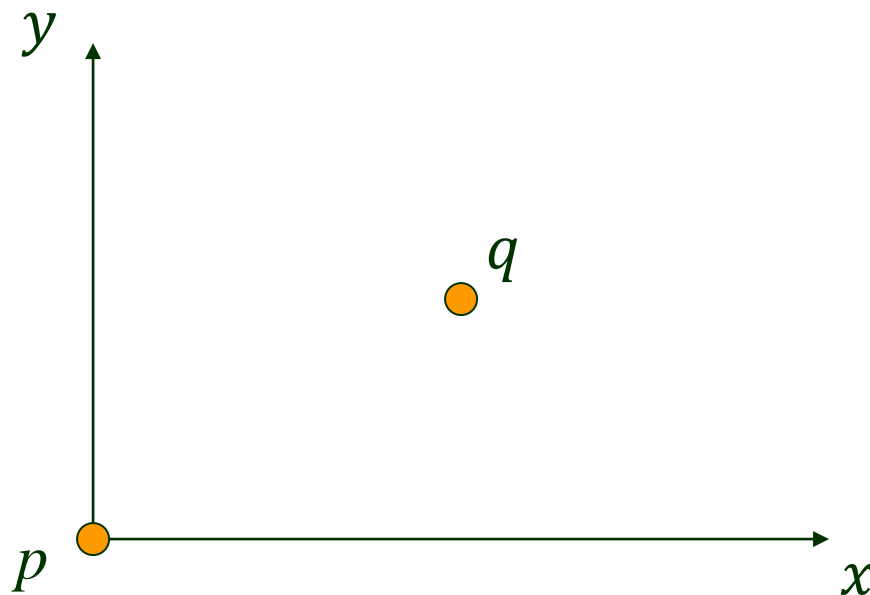


All three are accepted as edges!

Not *robust* – the algorithm could fail with small numerical error.

# Polar Angle

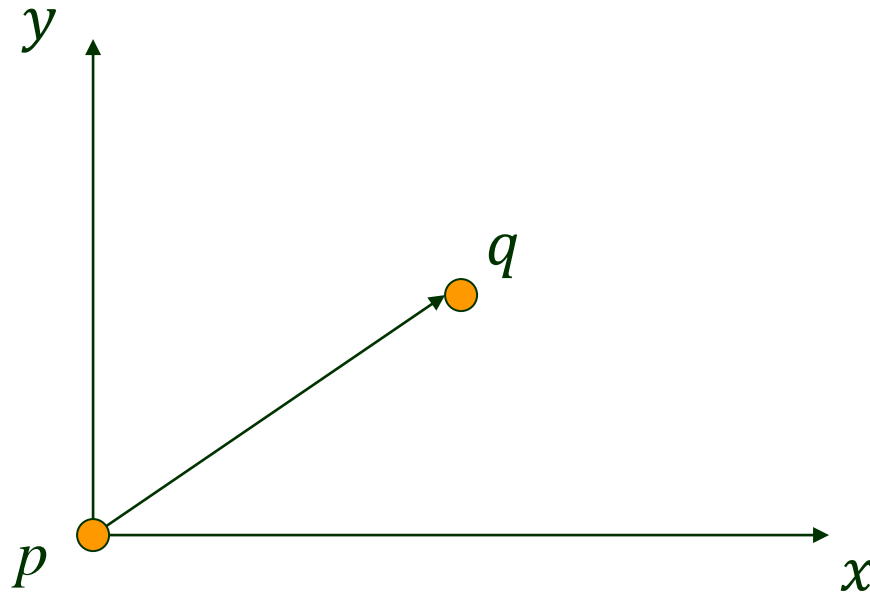
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●  $r$

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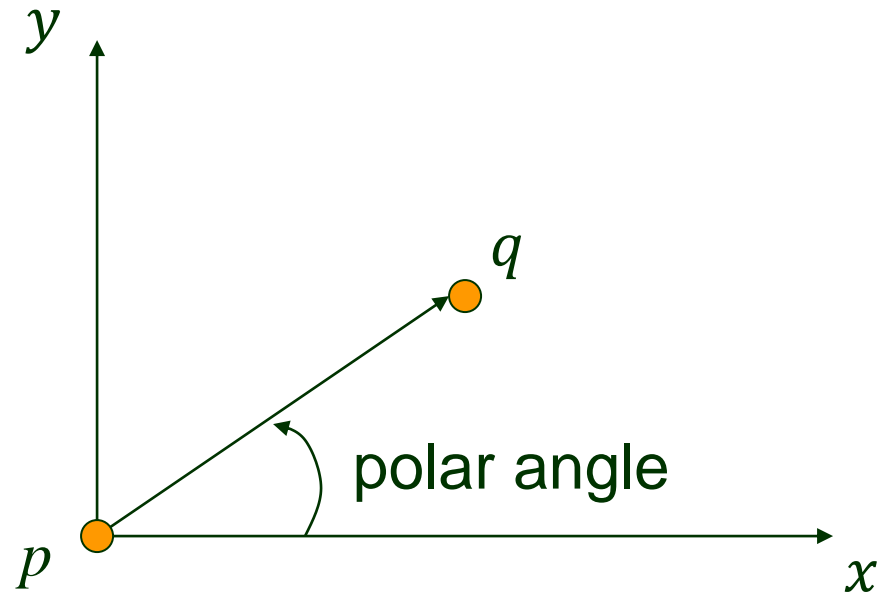
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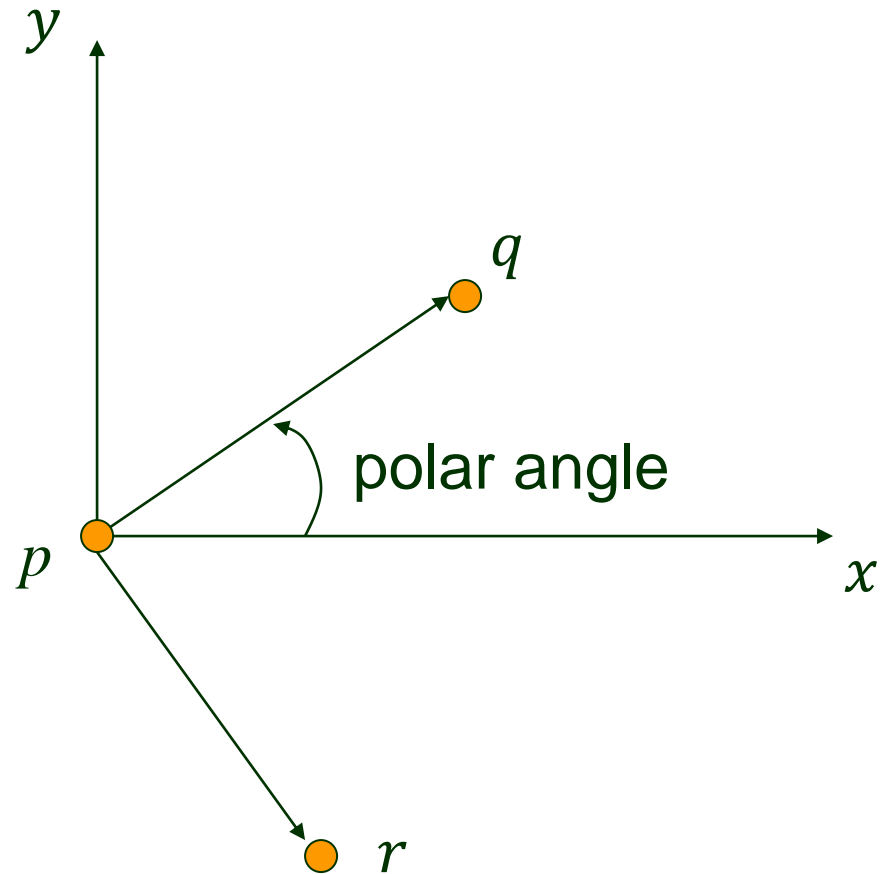
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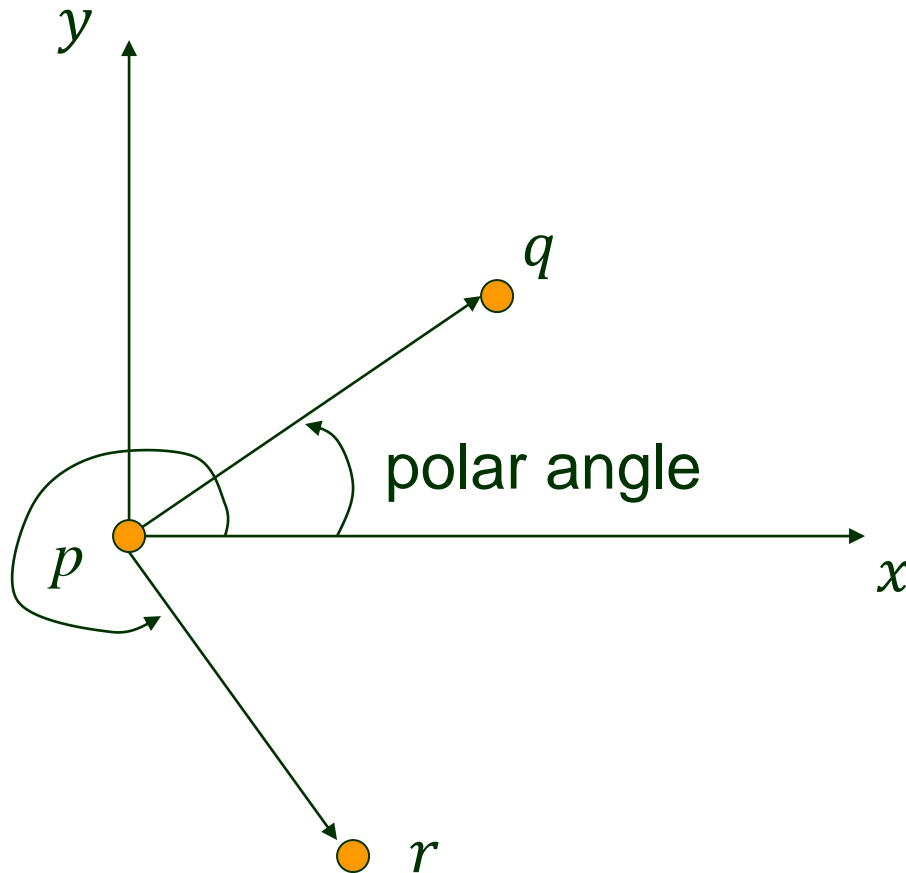
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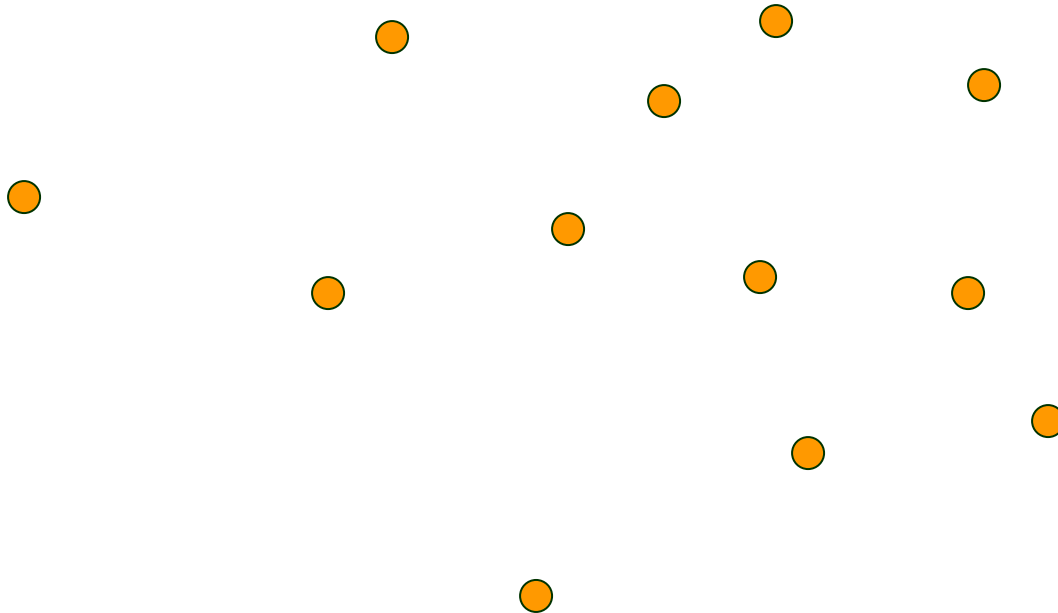
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# III. Graham Scan

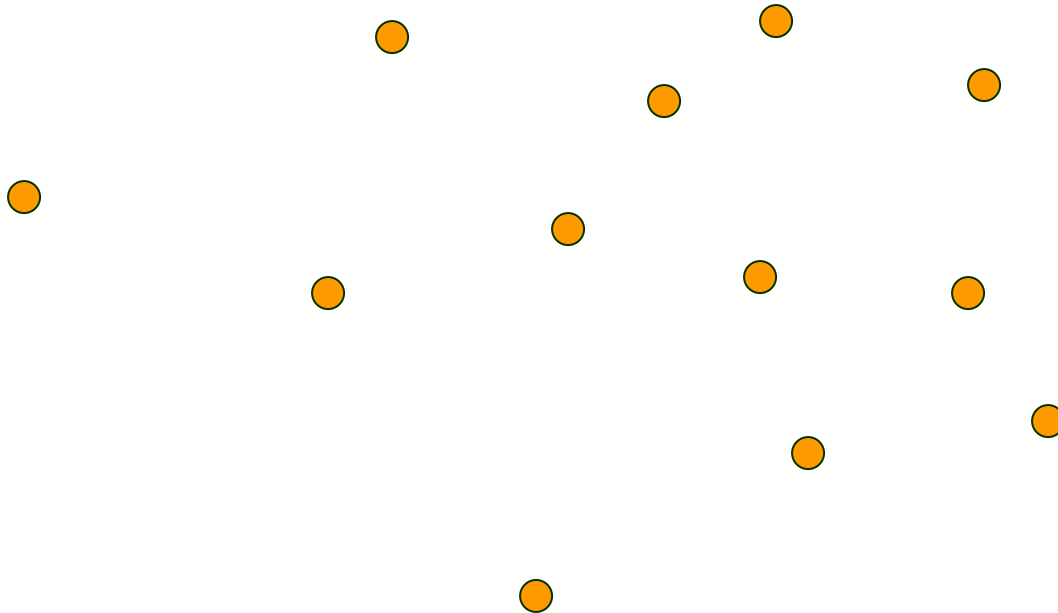
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# III. Graham Scan

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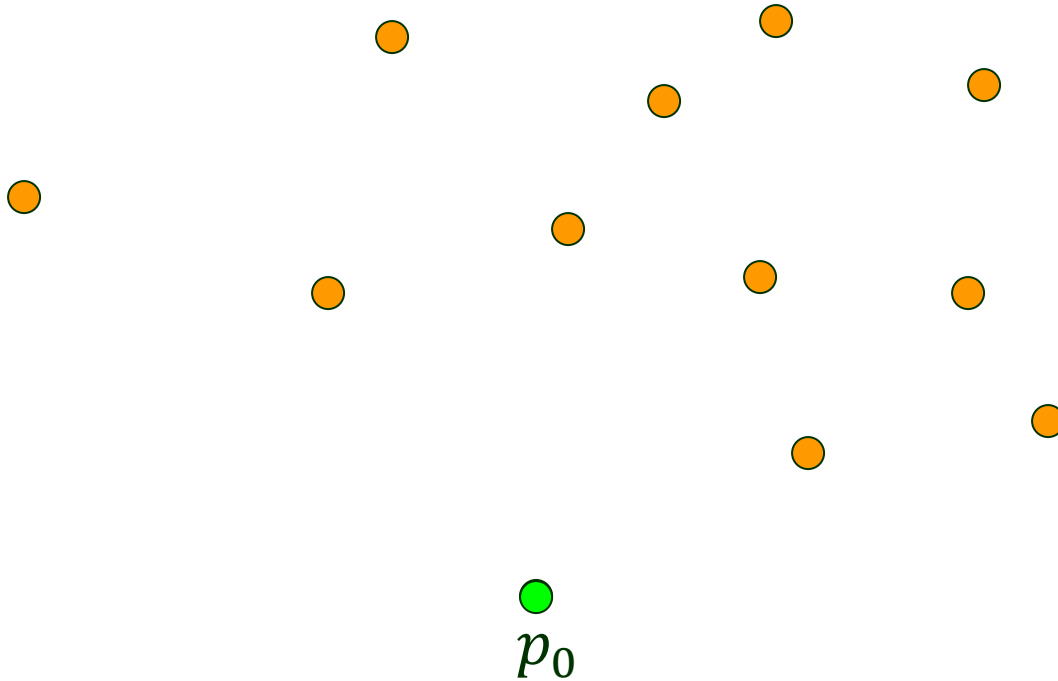
1) Select the node with the smallest  $y$  coordinate.



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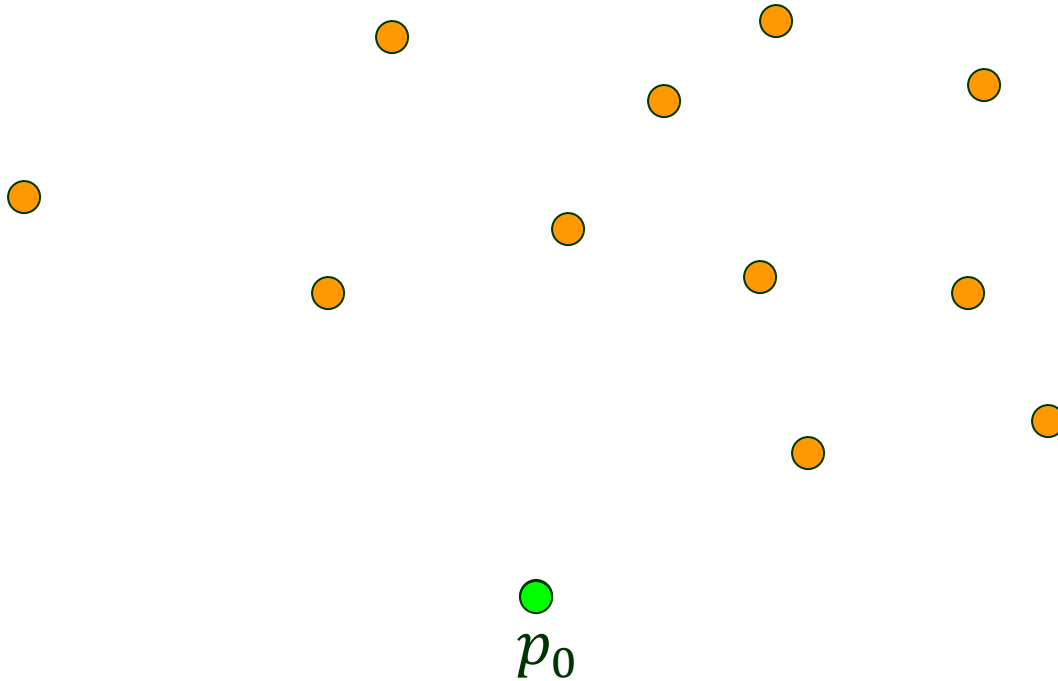
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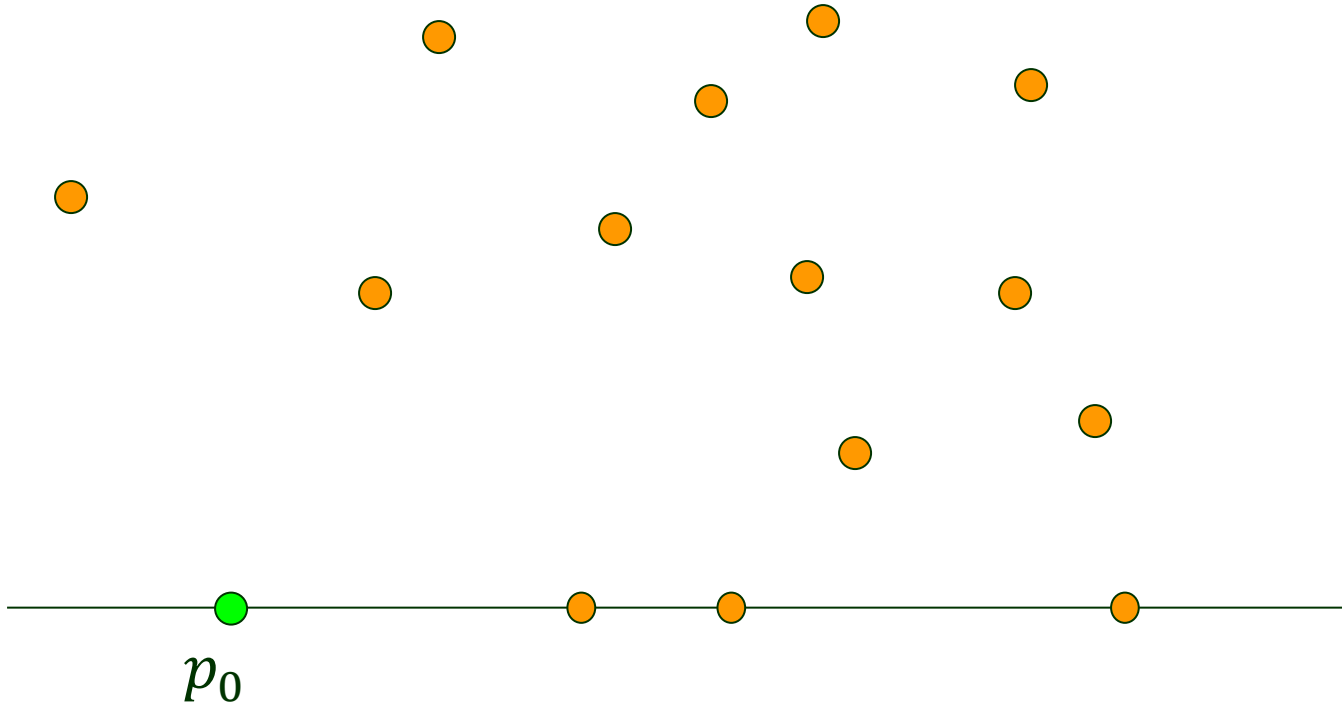


This node will be a vertex of the convex hull.

# Tie Breaking (1)

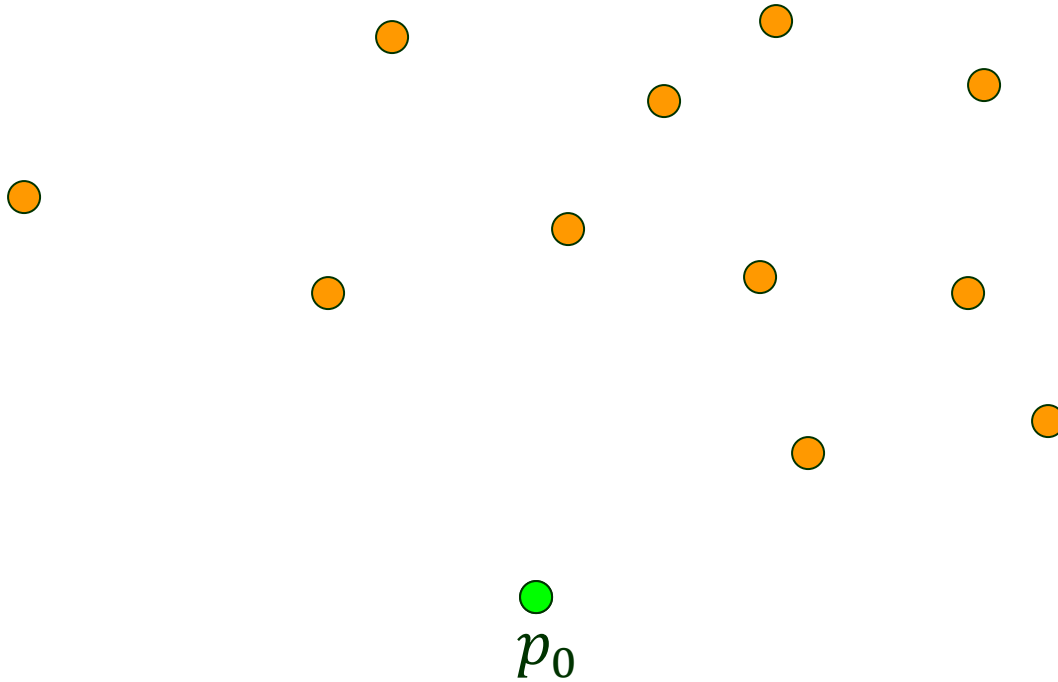
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When more than one point has the smallest  $y$  coordinate, pick the *leftmost* (or rightmost) one.



# Sorting by Polar Angle

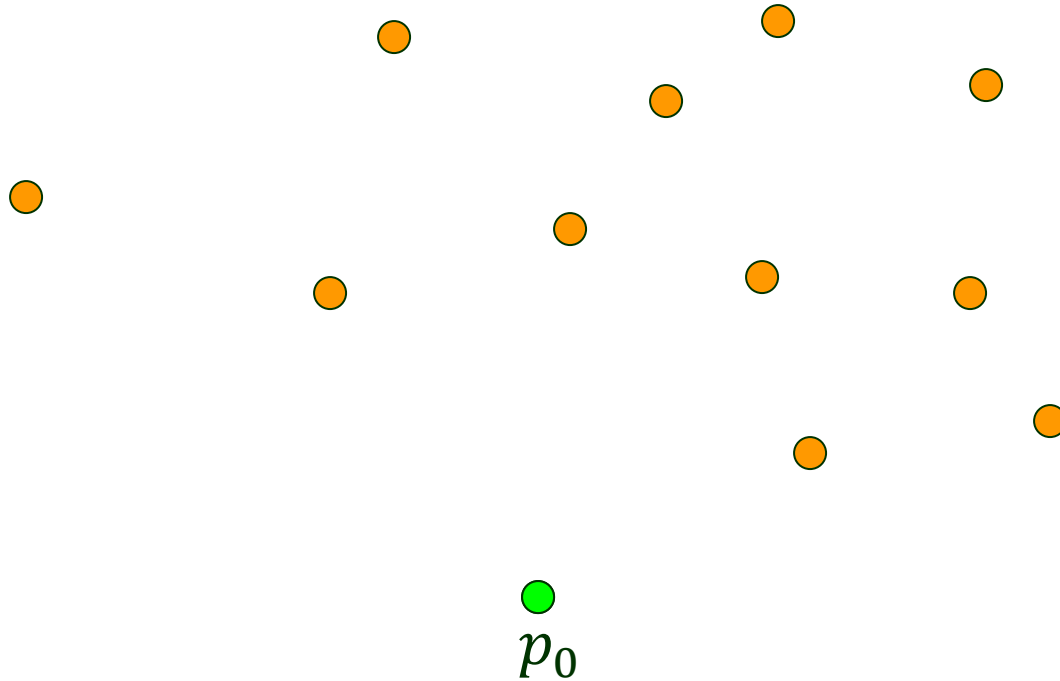
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# Sorting by Polar Angle

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2) Sort by polar angle with respect to  $p_0$ .

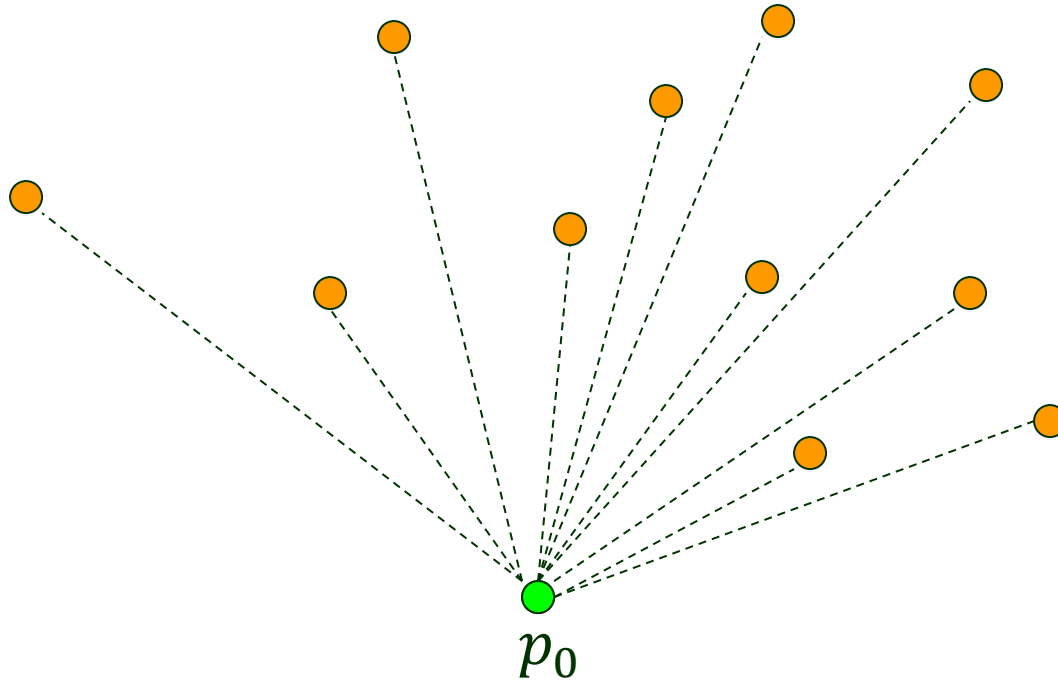




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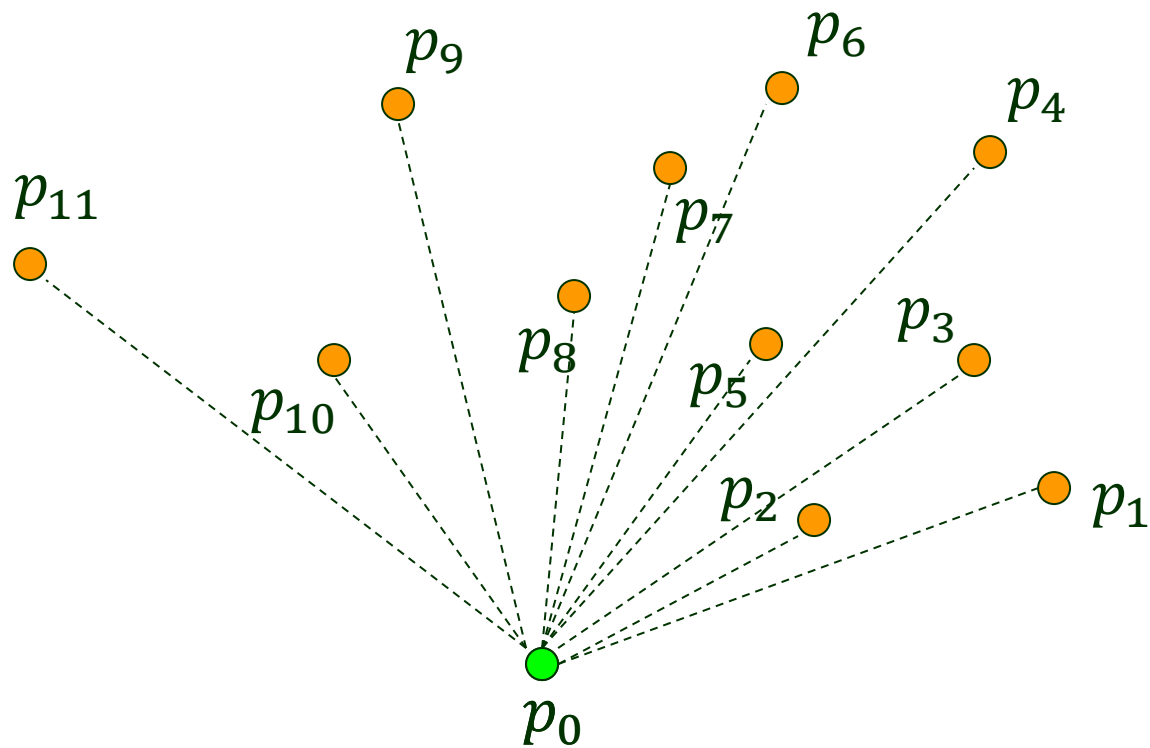
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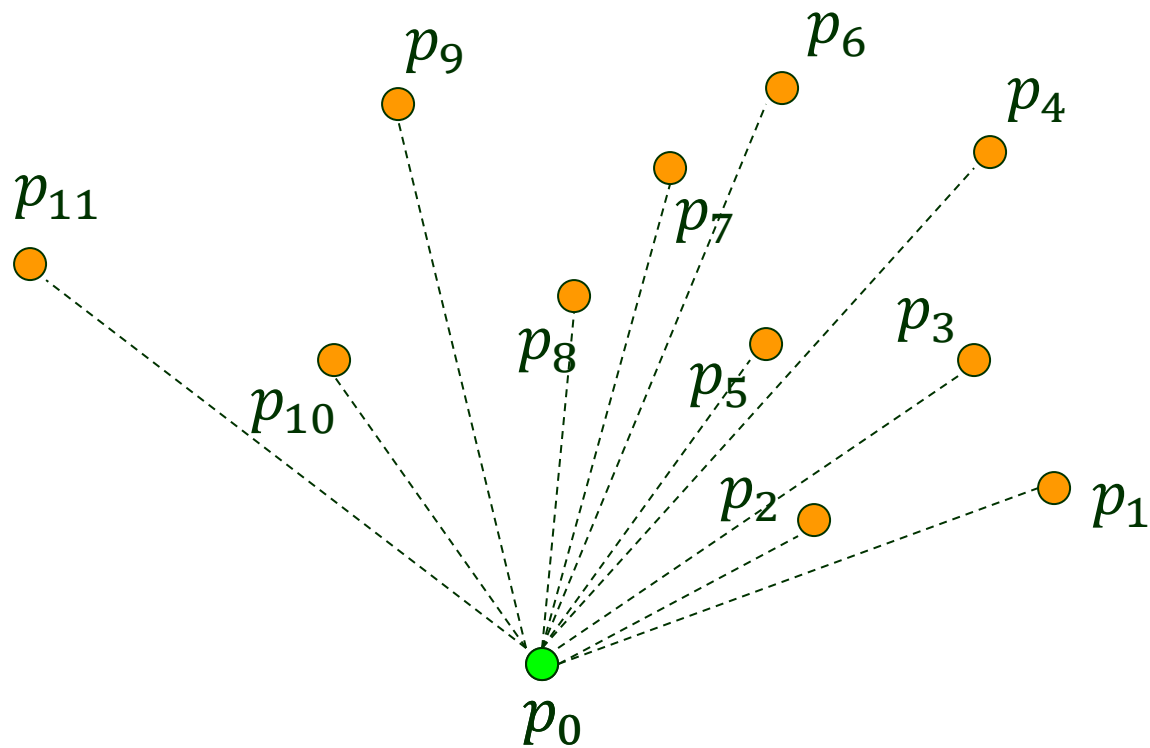
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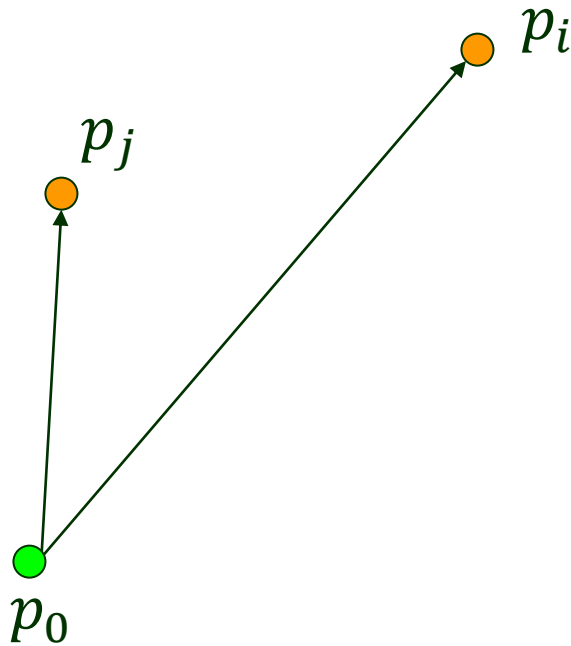


Labels are in the polar angle order.

# No Polar Angle Evaluation

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$p_0$  is the lowest (and leftmost)



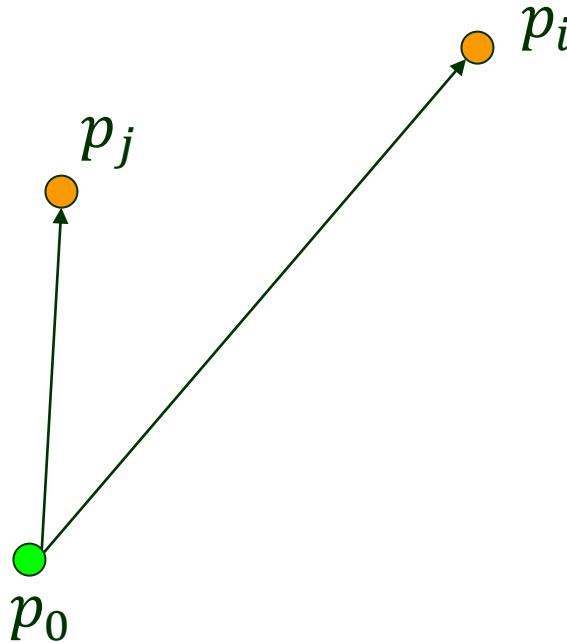
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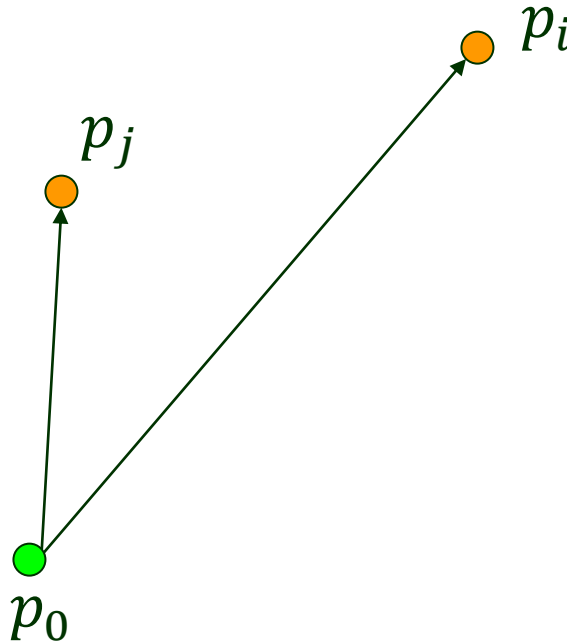
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**Use cross product!**



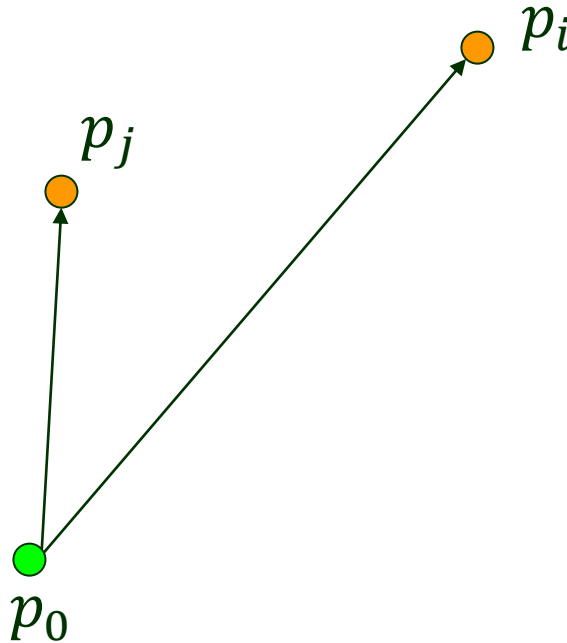
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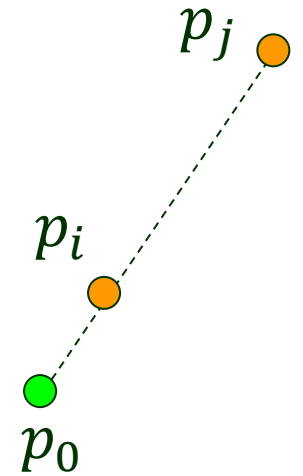
$$p_i < p_j \text{ if } \overrightarrow{p_0 p_i} \times \overrightarrow{p_0 p_j} > 0$$



# Tie Breaking (2)

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What if  $p_0, p_i, p_j$  are on the same line?



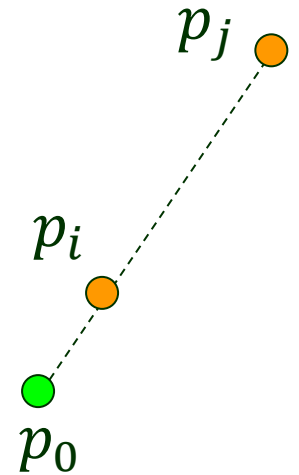


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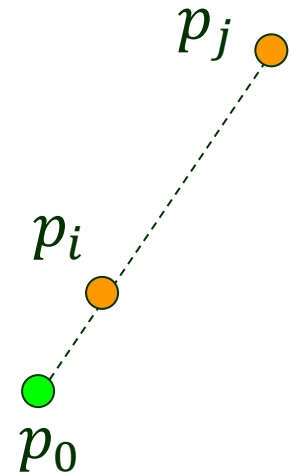
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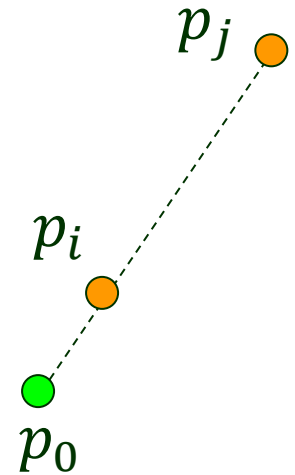
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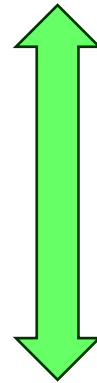
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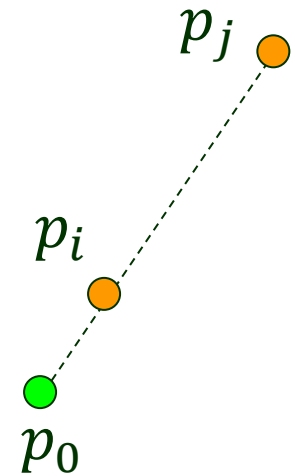
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No square roots.  
Use dot product!



$$\overrightarrow{p_0 p_i} \cdot \overrightarrow{p_0 p_i} < \overrightarrow{p_0 p_j} \cdot \overrightarrow{p_0 p_j}$$

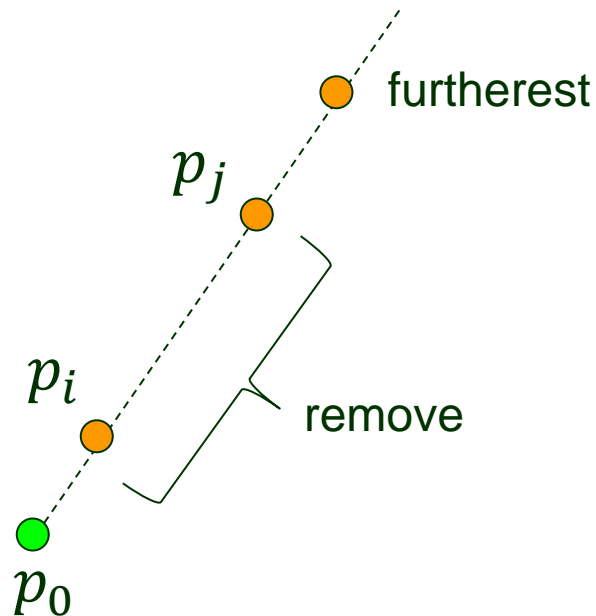


# Point Elimination

---

When multiple points have the same polar angle, keep the one *furthest* from  $p_0$ .

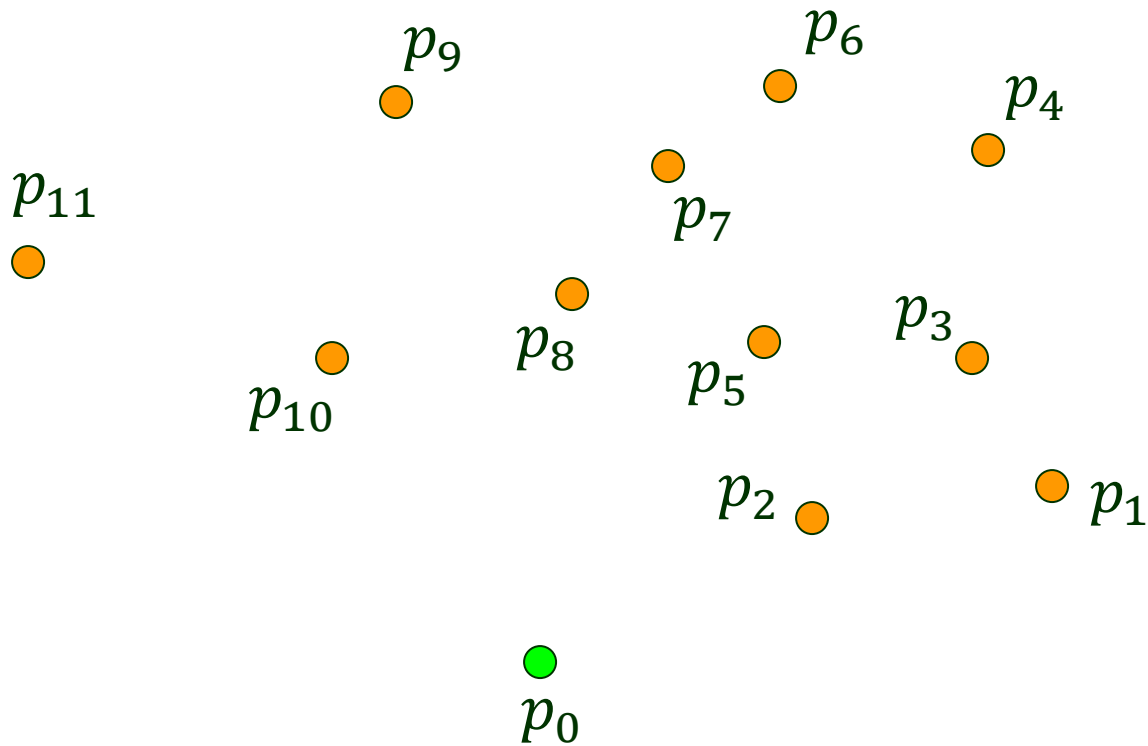
Remove the rest since they cannot possibly be the hull vertices.



# Stack Initialization

---

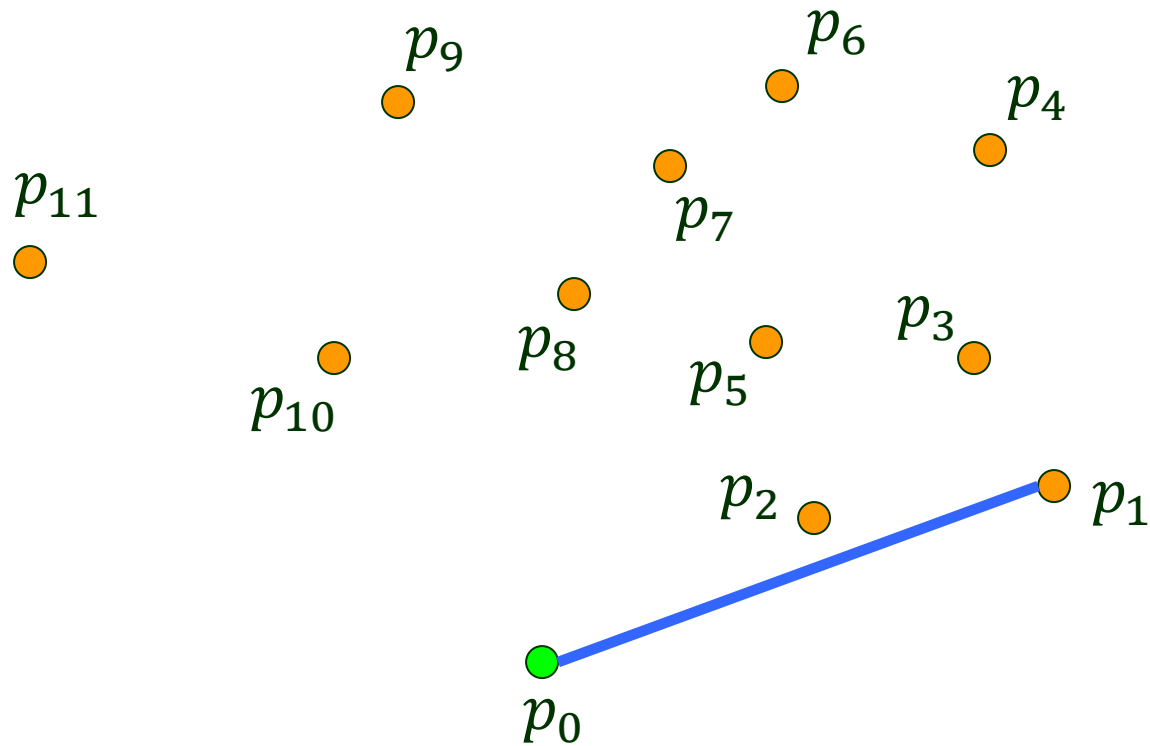
3) Scan points in the increasing order of polar angle, maintaining a stack.



# Stack Initialization

---

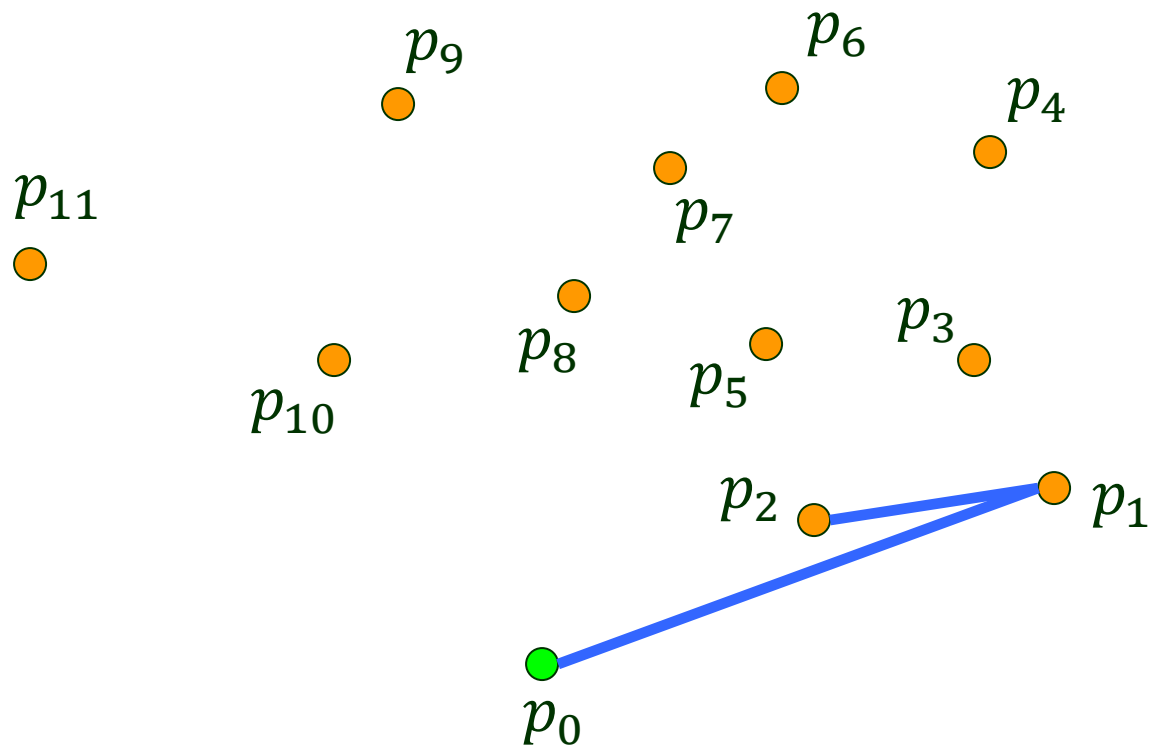
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# Stack Initialization

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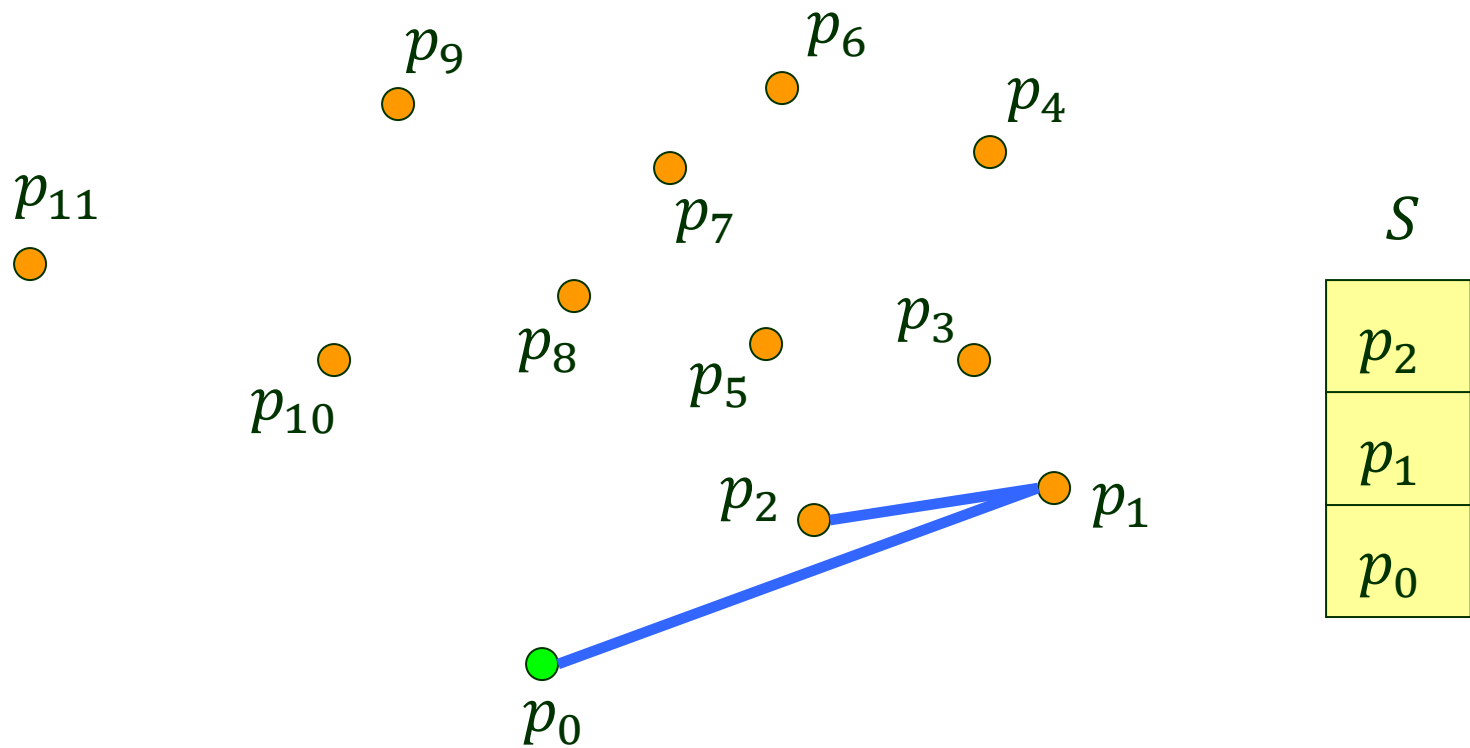


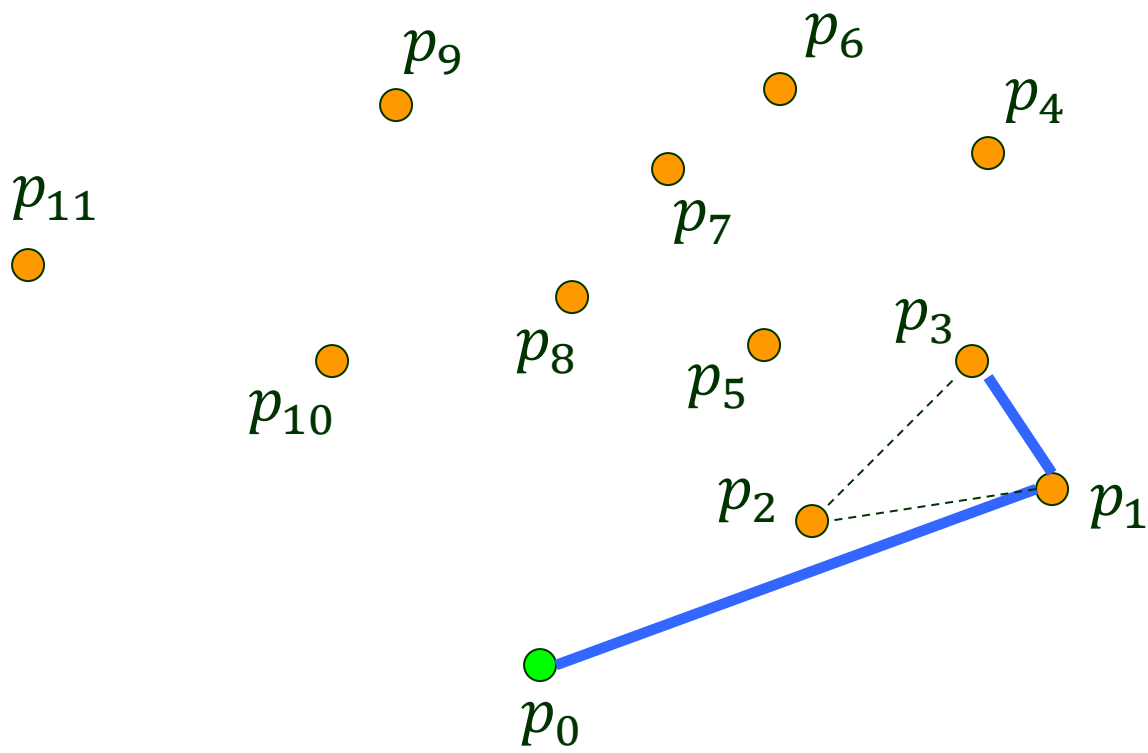


# Stack Initialization

---

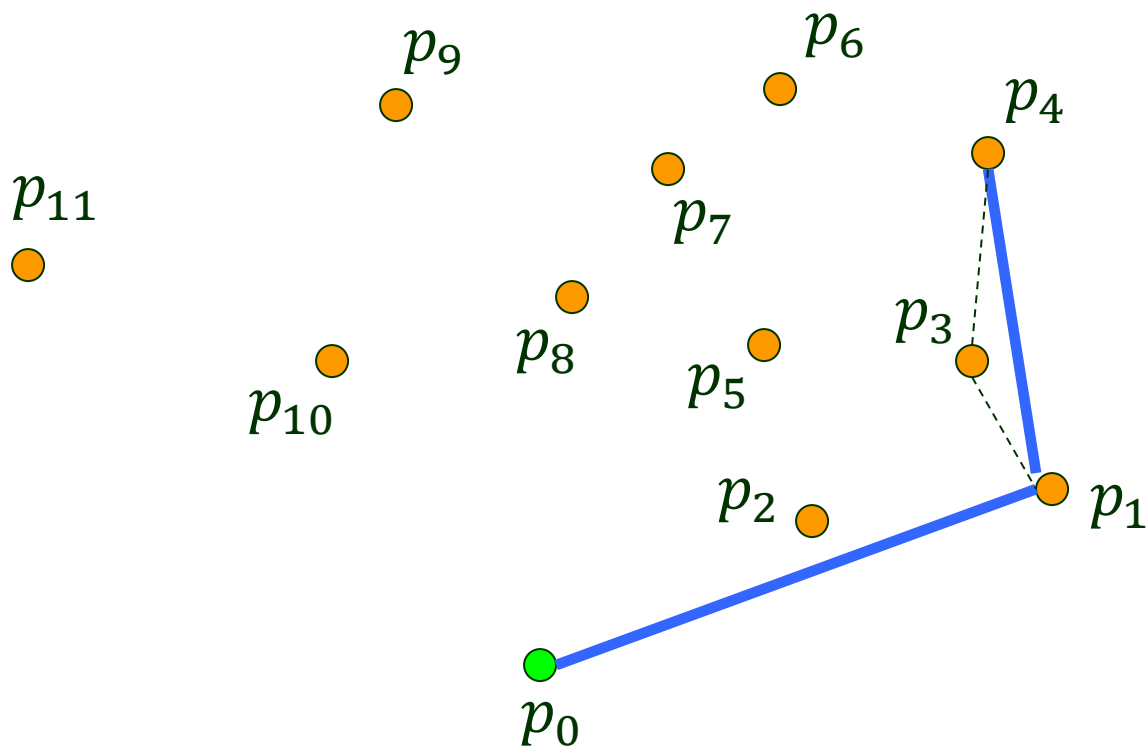
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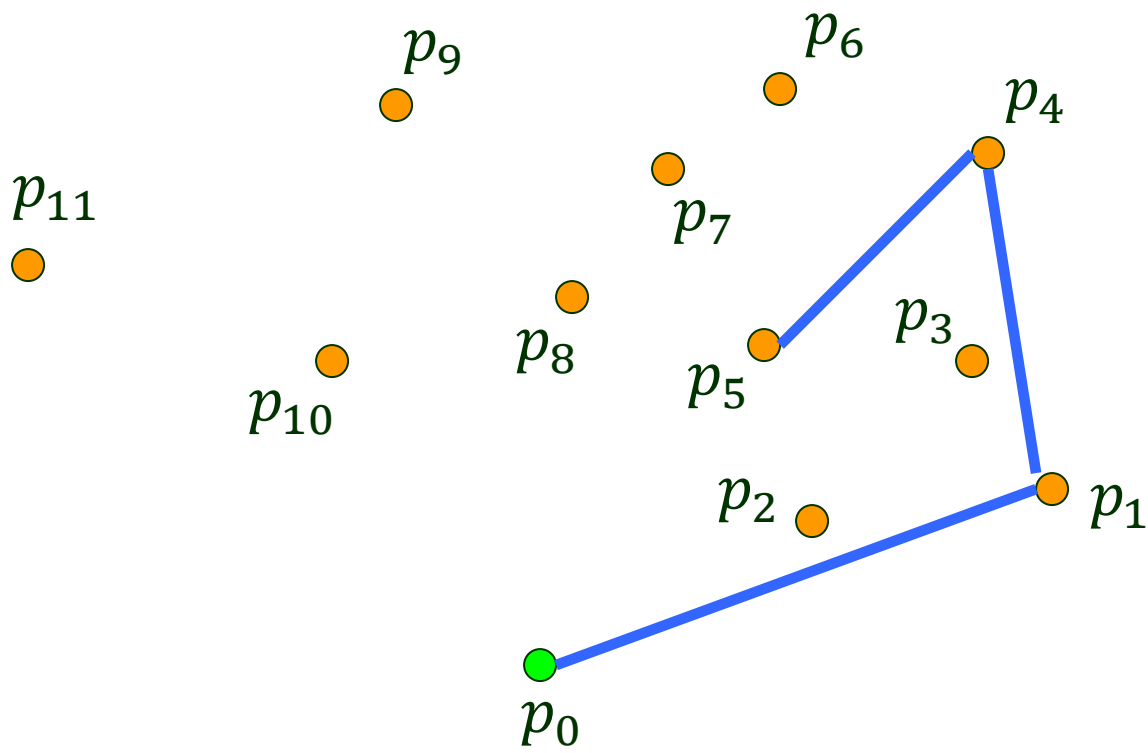
$S$

$p_3$
$p_1$
$p_0$



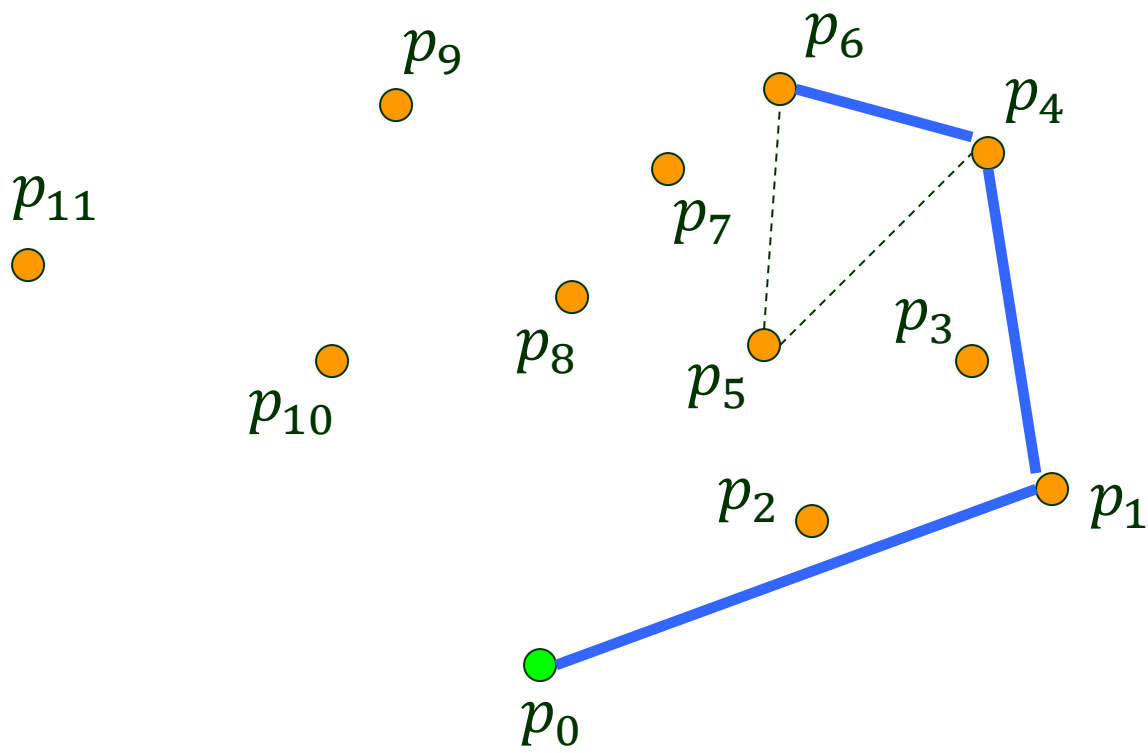
$S$

$p_4$
$p_1$
$p_0$



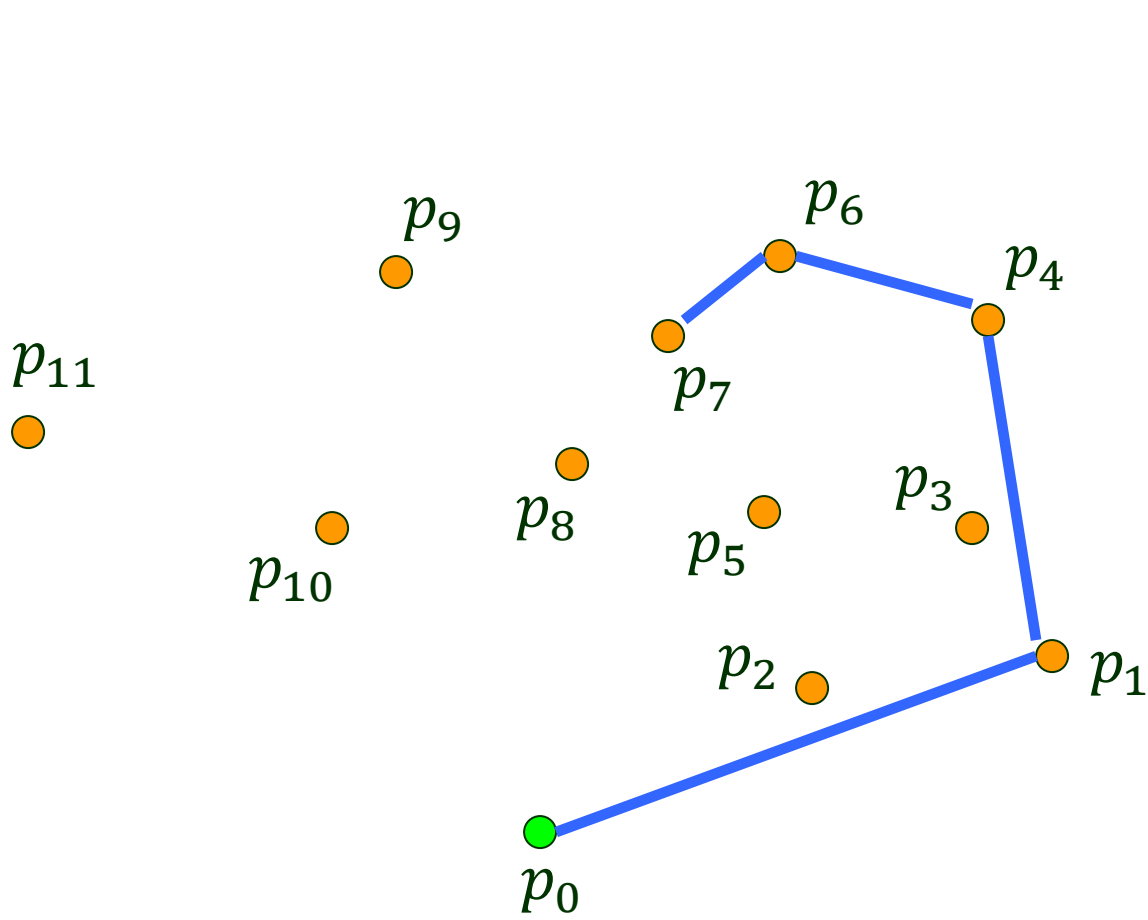
$S$

$p_5$
$p_4$
$p_1$
$p_0$



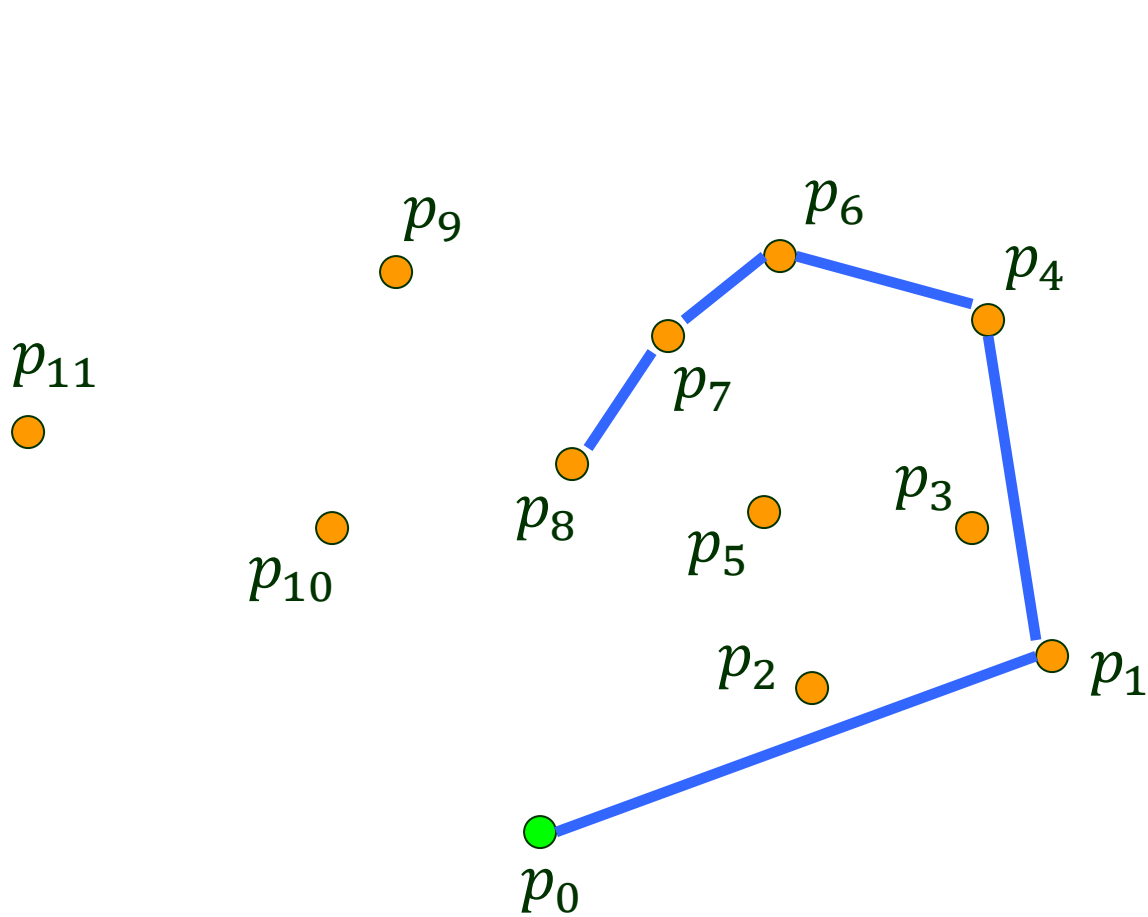
$S$

$p_6$
$p_4$
$p_1$
$p_0$



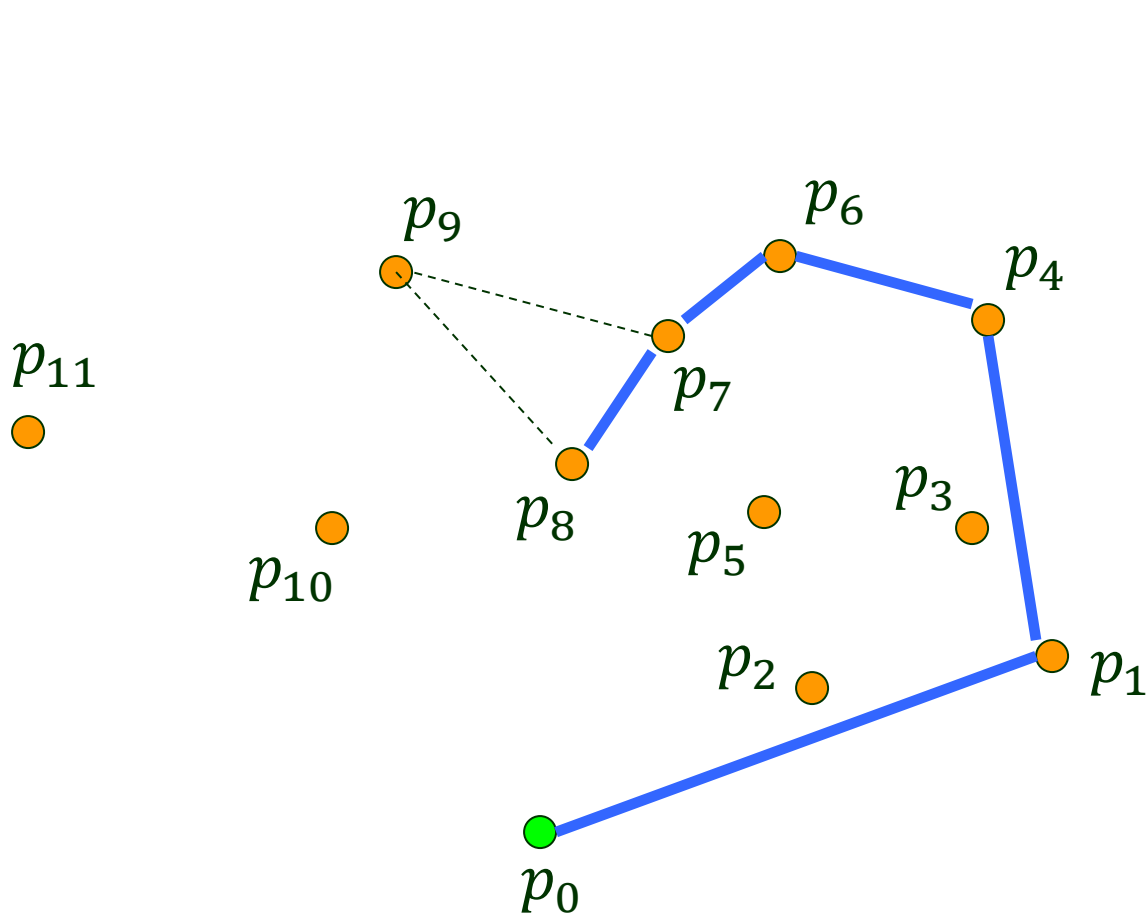
$S$

$p_7$
$p_6$
$p_4$
$p_1$
$p_0$



$S$

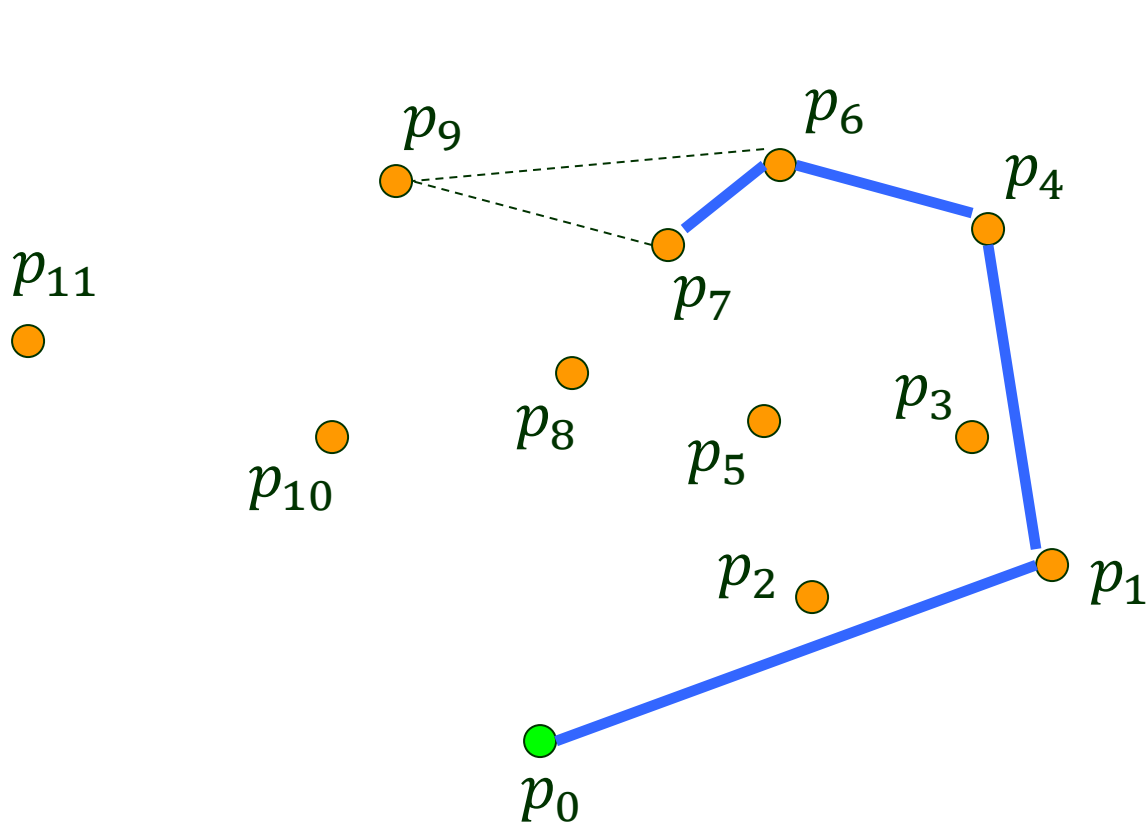
$p_8$
$p_7$
$p_6$
$p_4$
$p_1$
$p_0$



$S$

$p_8$
$p_7$
$p_6$
$p_4$
$p_1$
$p_0$

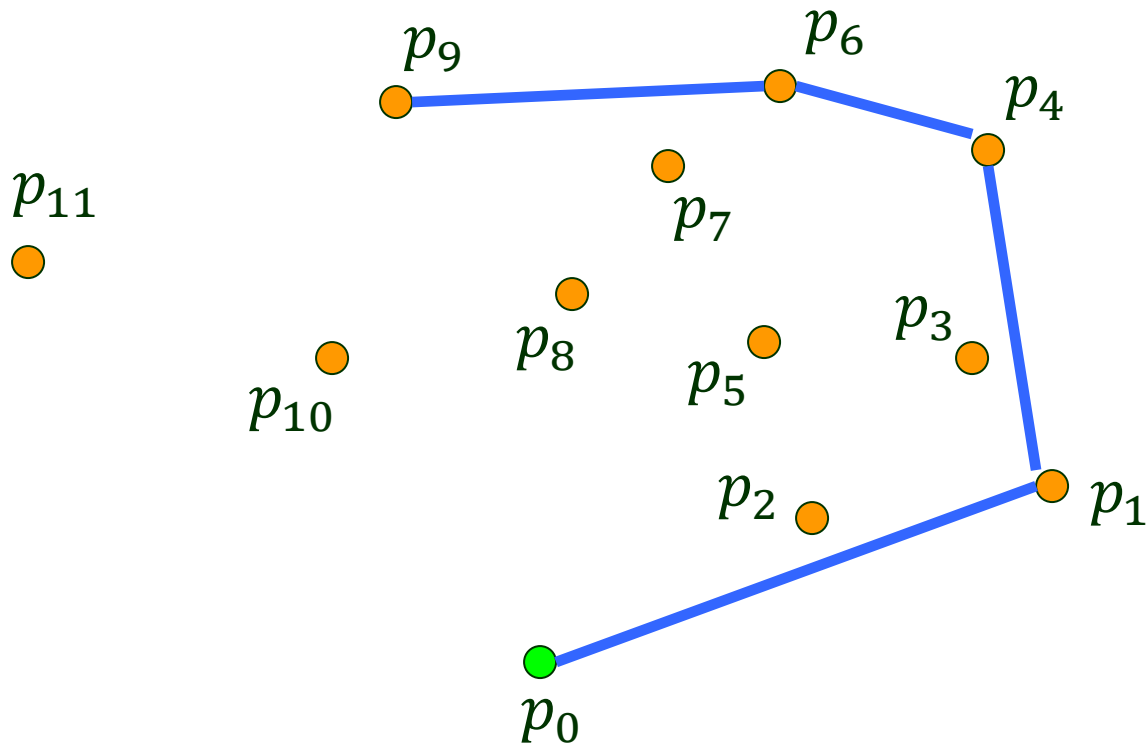




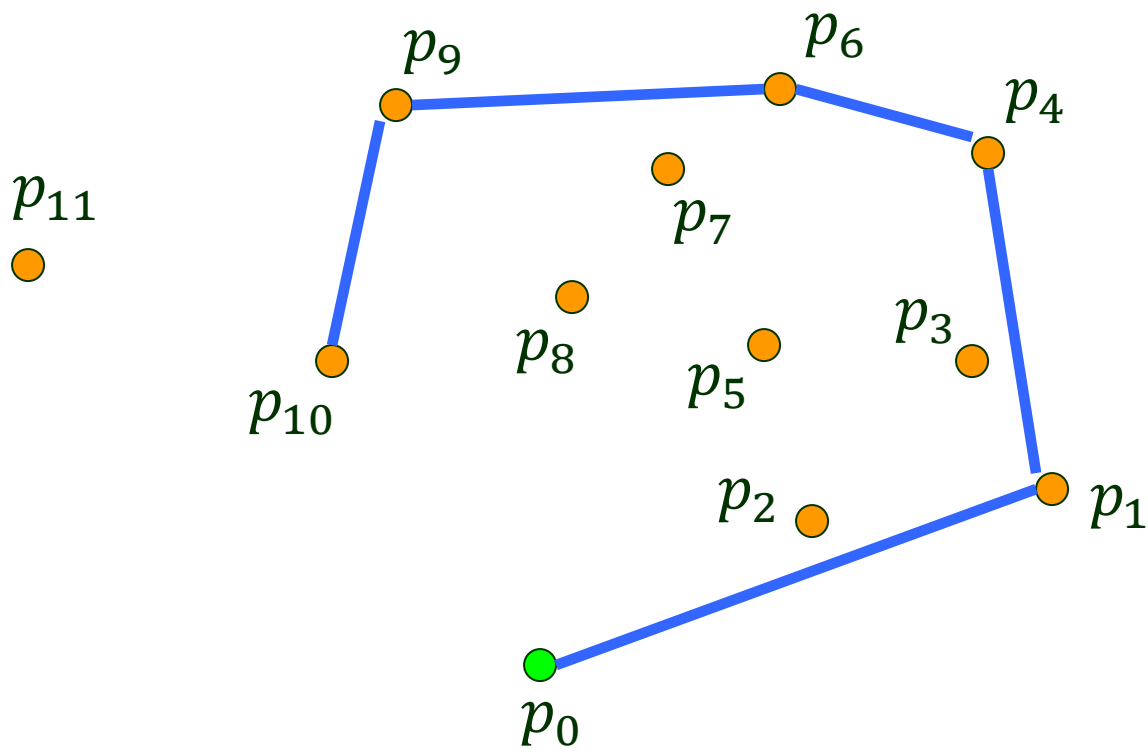
$S$

$p_7$
$p_6$
$p_4$
$p_1$
$p_0$

$S$

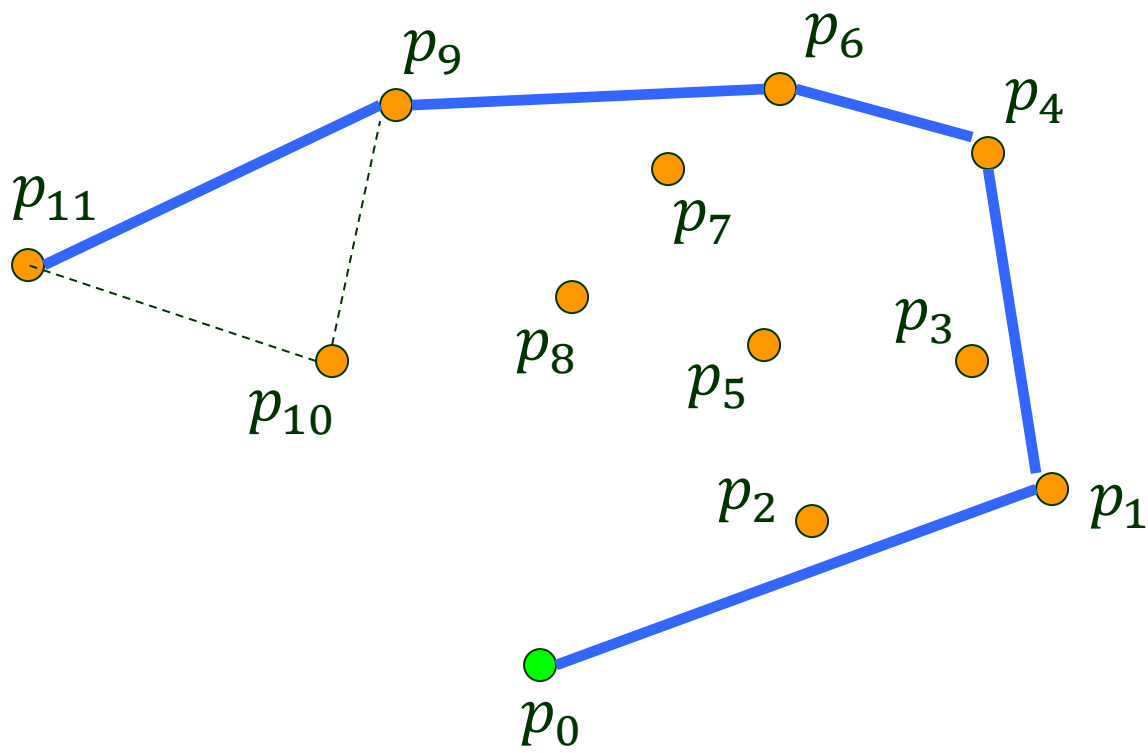


$p_9$
$p_6$
$p_4$
$p_1$
$p_0$



$S$

$p_{10}$
$p_9$
$p_6$
$p_4$
$p_1$
$p_0$

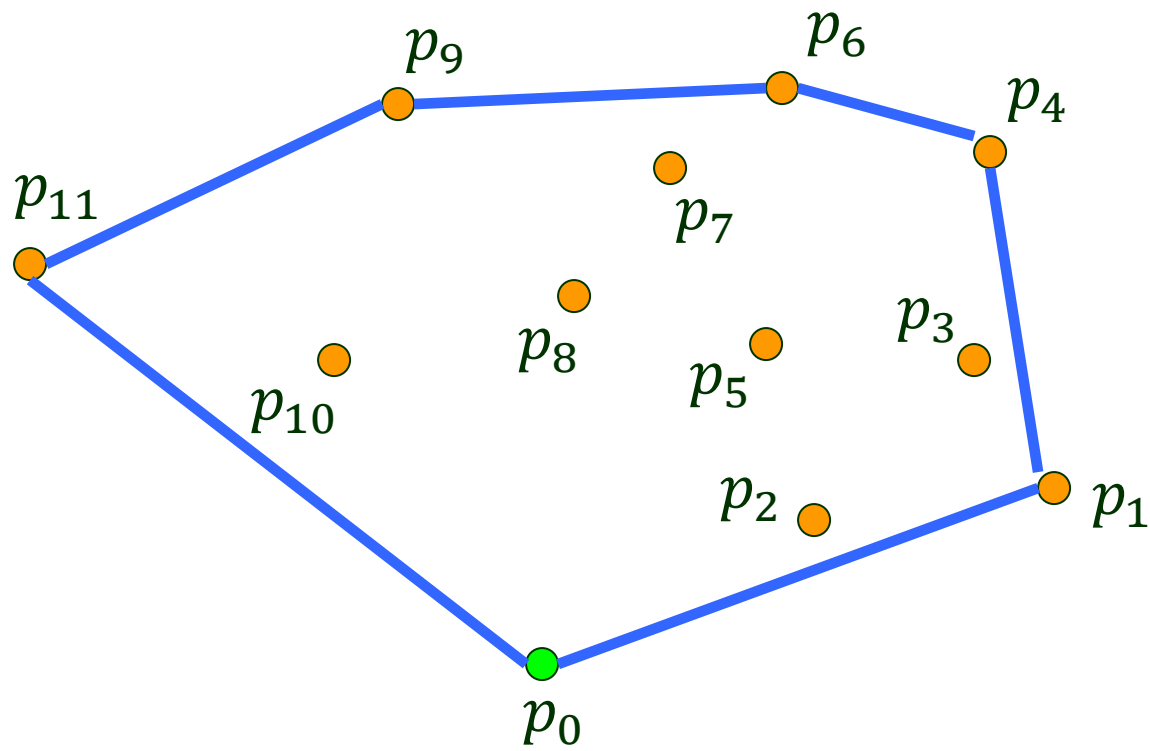


$S$

$p_{11}$
$p_9$
$p_6$
$p_4$
$p_1$
$p_0$

# Finish

---



$S$

$p_{11}$
$p_9$
$p_6$
$p_4$
$p_1$
$p_0$

# Graham's Scan

---

# Graham's Scan

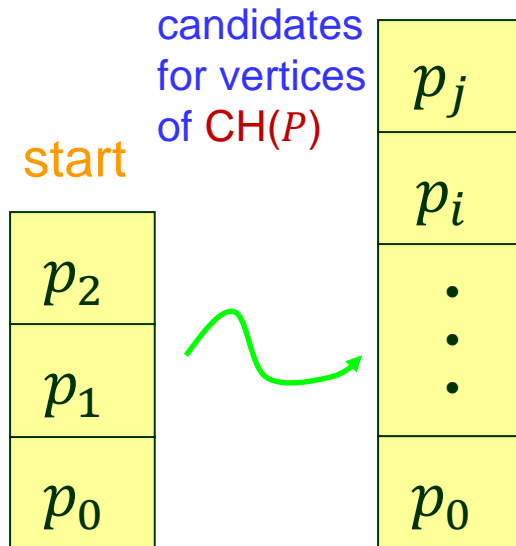
---

start

$p_2$
$p_1$
$p_0$

# Graham's Scan

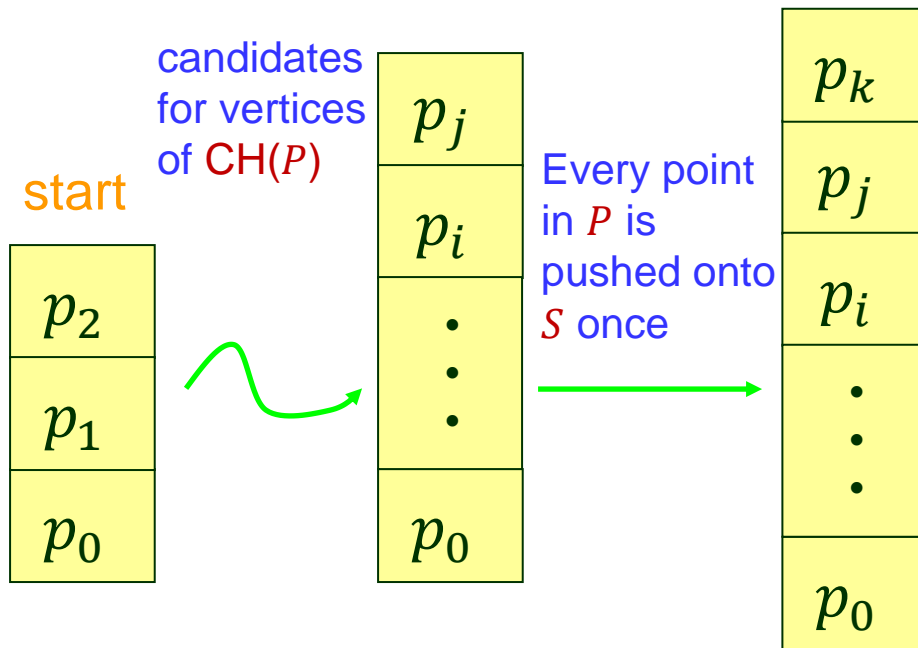
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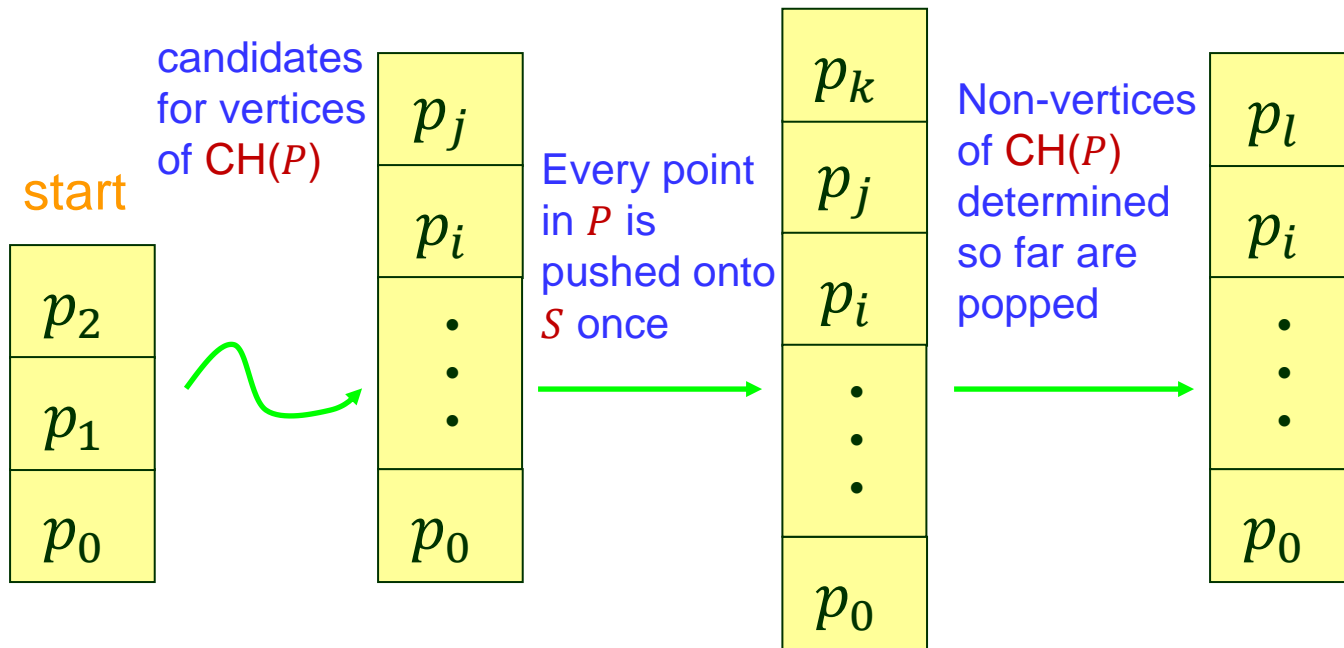
# Graham's Scan

---

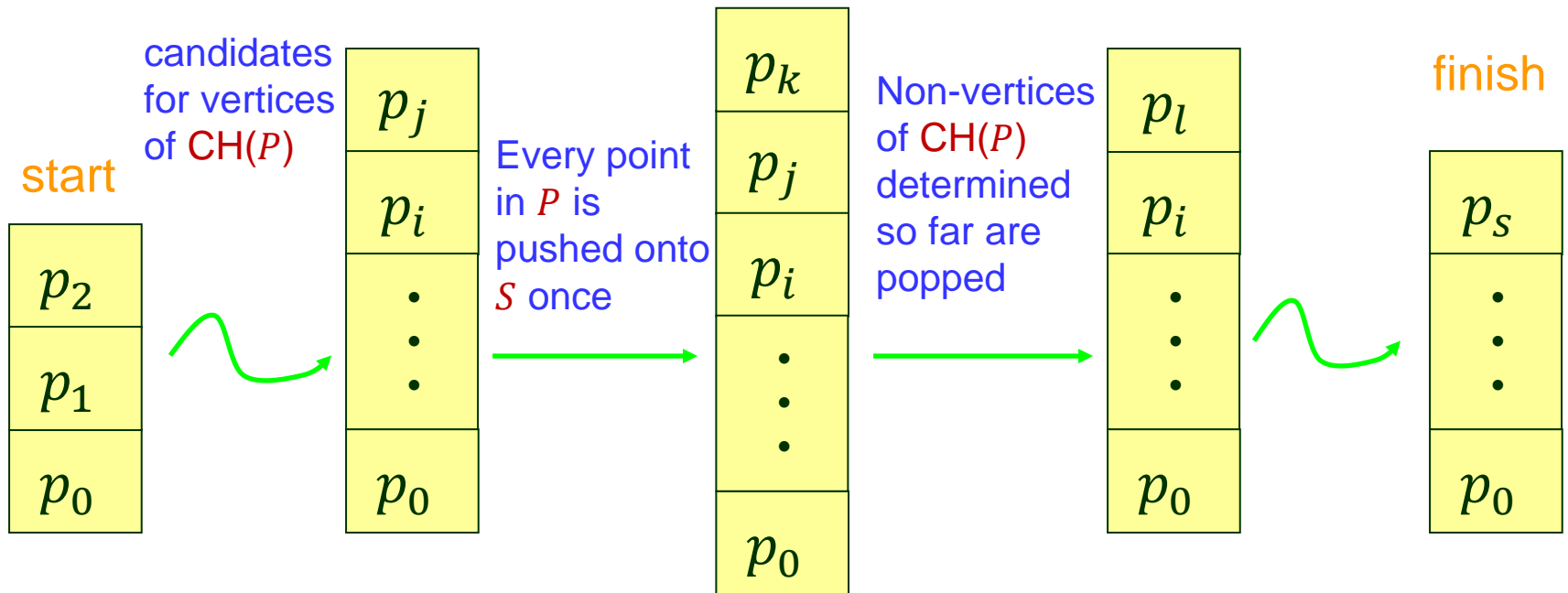


# Graham's Scan

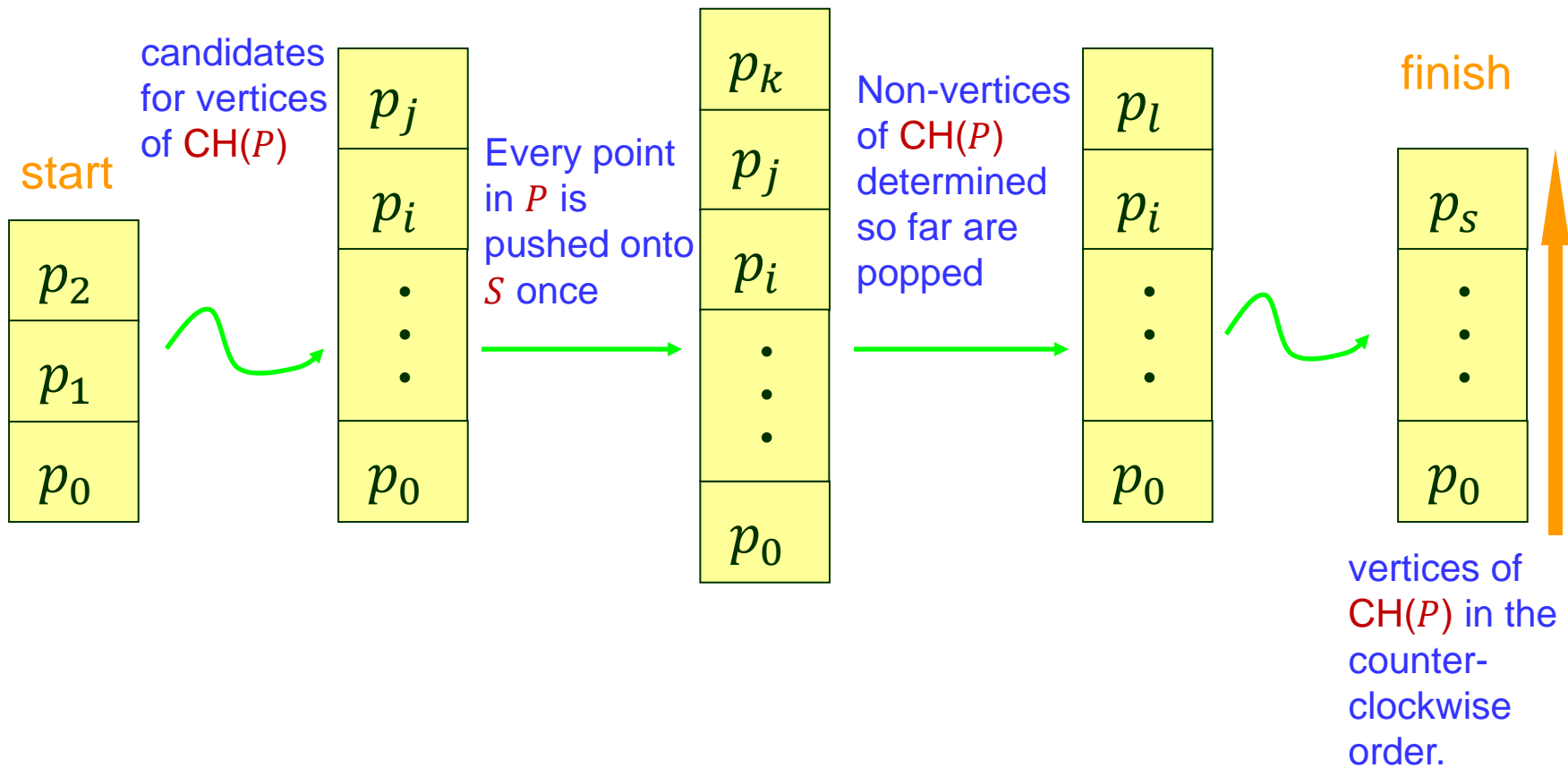
---



# Graham's Scan



# Graham's Scan



# IV. The Graham Scan Algorithm

---

Graham-Scan( $P$ )

let  $p_0$  be the point in  $P$  with *minimum*  $y$ -coordinate

let  $\langle p_1, p_2, \dots, p_{n-1} \rangle$  be the remaining points in  $P$

sorted in counterclockwise order by polar angle around  $p_0$ .

Top[ $S$ ]  $\leftarrow \emptyset$

Push( $p_0$ ,  $S$ )

Push( $p_1$ ,  $S$ )

Push( $p_2$ ,  $S$ )

for  $i = 3$  to  $n - 1$

do while  $p_i$  makes a *nonLeft* turn from the line segment  
determined by Top( $S$ ) and Next-to-Top( $S$ )

do Pop( $S$ )

Push( $S$ ,  $p_i$ )

return  $S$

# Running time

---

#operations

time / operation

total

---

# Running time

---

	#operations	time / operation	total
Finding $p_0$	1	$\Theta(n)$	$\Theta(n)$

# Running time

---

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Finding $p_0$	1	$\Theta(n)$	$\Theta(n)$
Sorting	1	$O(n \log n)$	$O(n \log n)$



# Running time

---

	#operations	time / operation	total
Finding $p_0$	1	$\Theta(n)$	$\Theta(n)$
Sorting	1	$O(n \log n)$	$O(n \log n)$
Push	$n$	$O(1)$	$\Theta(n)$

# Running time

---

	#operations	time / operation	total
Finding $p_0$	1	$\Theta(n)$	$\Theta(n)$
Sorting	1	$O(n \log n)$	$O(n \log n)$
Push	$n$	$O(1)$	$\Theta(n)$
Pop	$\leq n - 2$	$O(1)$	$O(n)$

# Running time

---

	#operations	time / operation	total
Finding $p_0$	1	$\Theta(n)$	$\Theta(n)$
Sorting	1	$O(n \log n)$	$O(n \log n)$
Push	$n$	$O(1)$	$\Theta(n)$
Pop	$\leq n - 2$ Why?	$O(1)$	$O(n)$

# Running time

---

	#operations	time / operation	total
Finding $p_0$	1	$\Theta(n)$	$\Theta(n)$
Sorting	1	$O(n \log n)$	$O(n \log n)$
Push	$n$	$O(1)$	$\Theta(n)$
Pop	$\leq n - 2$ Why?	$O(1)$	$O(n)$

The running time of Graham's Scan is  $O(n \log n)$ .

# Proof of Correctness

---

**Claim 1** Each point popped from stack  $S$  is not a vertex of  $CH(P)$ .

**Proof**

# Proof of Correctness

---

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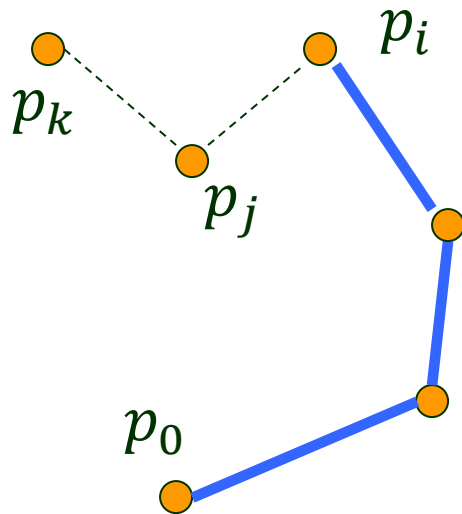
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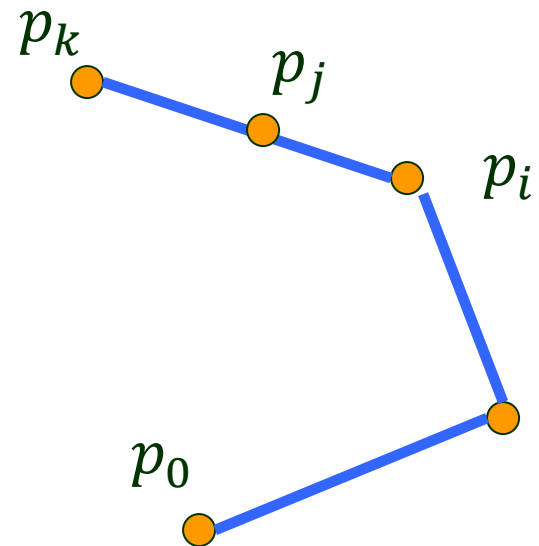
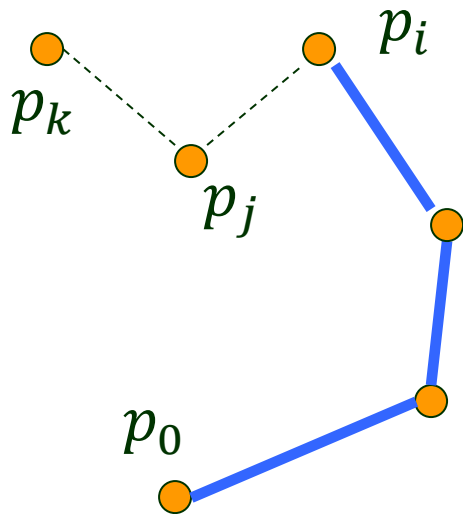


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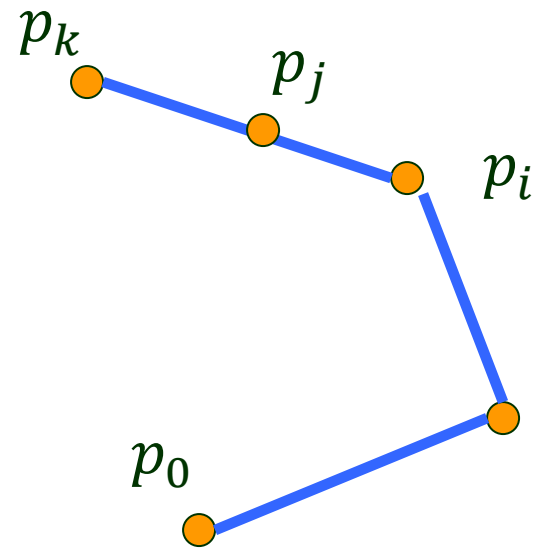
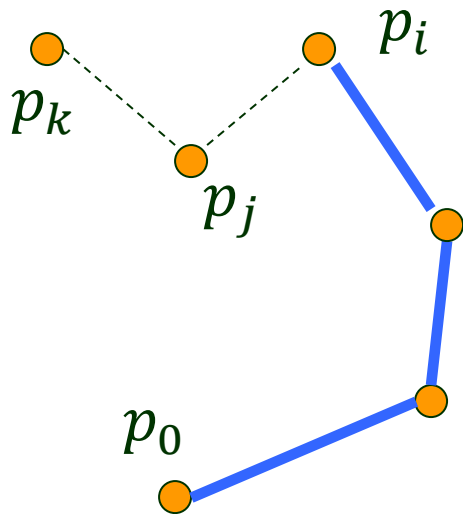


# Proof of Correctness

---

**Claim 1** Each point popped from stack  $S$  is not a vertex of  $\text{CH}(P)$ .

**Proof** Two cases when  $p_j$  is popped:



In neither case can  $p_j$  become a vertex of  $\text{CH}(P)$ .

**Claim 2** Graham-Scan maintains the *invariant* that the points on stack  $S$  always form the vertices of a convex polygon in counterclockwise order.

**Proof**

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**Proof**  The claim holds right after initialization of  $S$  when  $p_0, p_1, p_2$  form a triangle (which is obviously convex).

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  ✨ Popping a point from  $S$  preserves the invariant.

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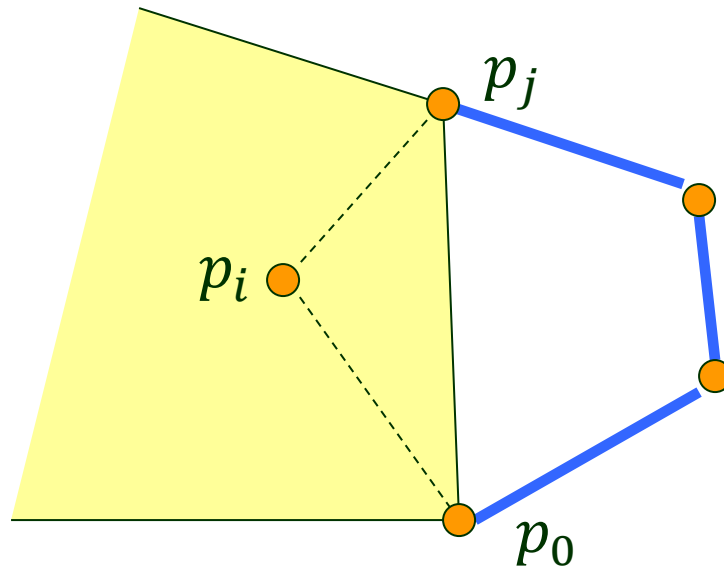
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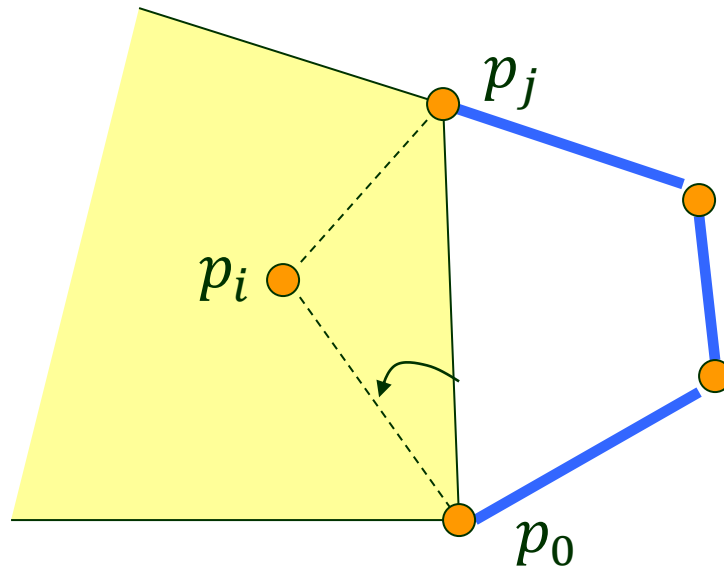


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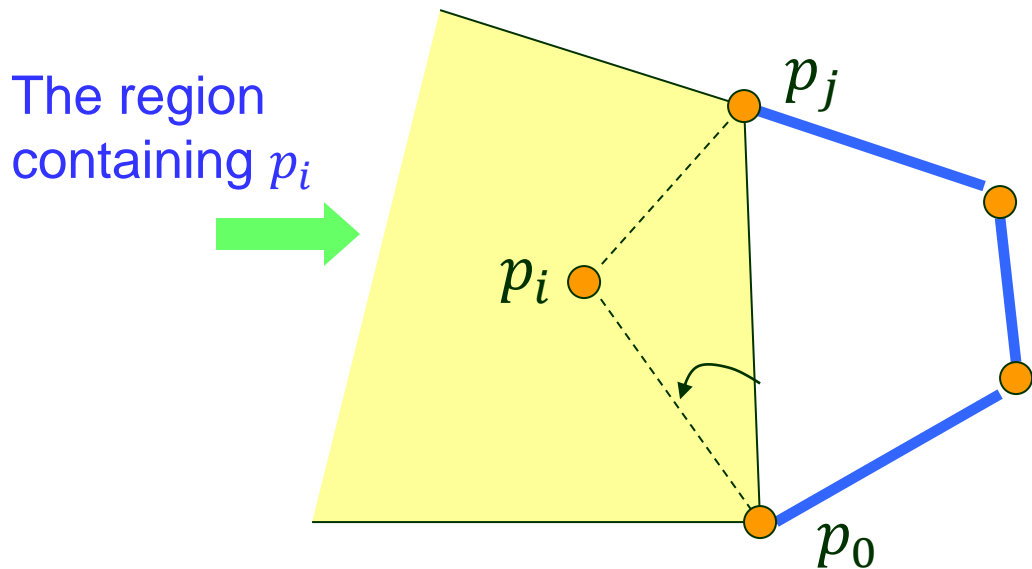


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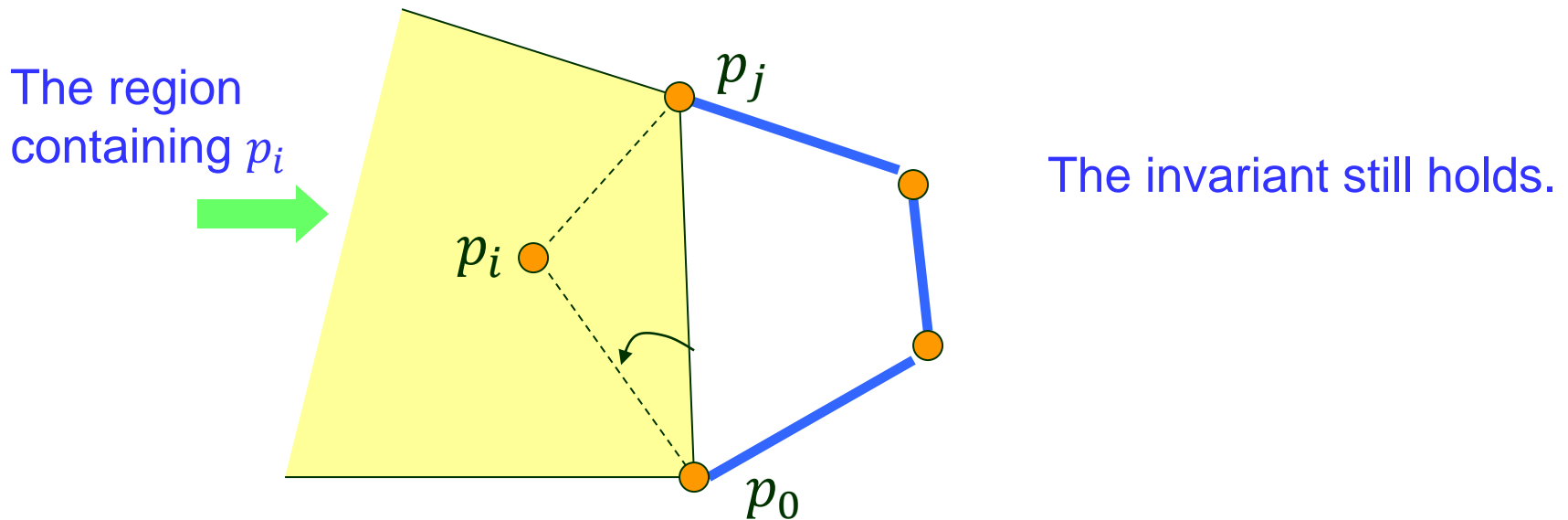


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# Correctness of Graham's Scan

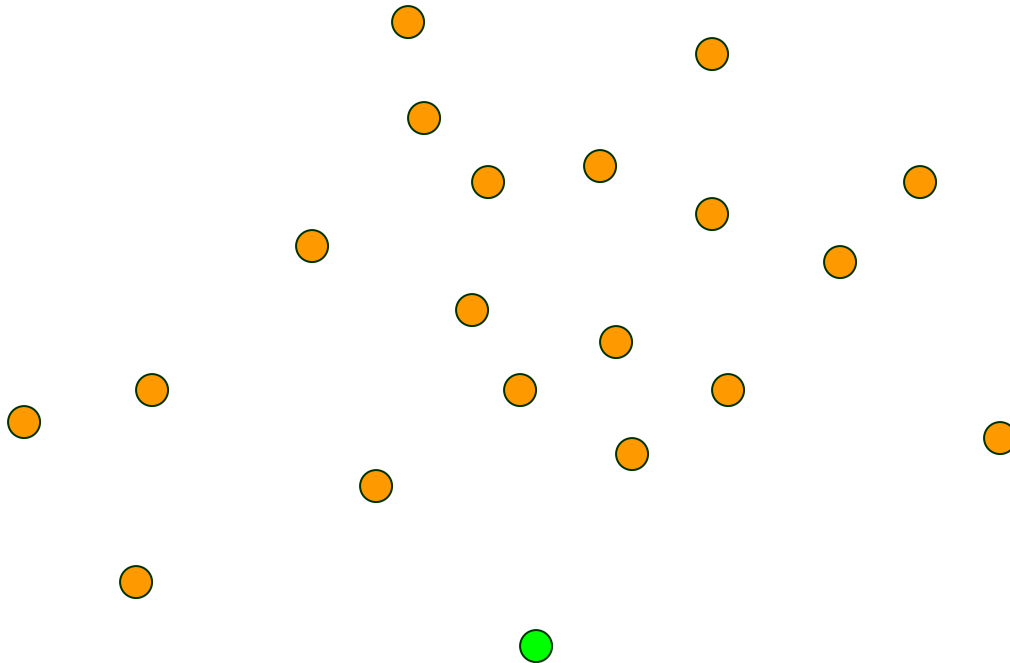
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**Theorem** If Graham-Scan is run on a set  $P$  of at least three points, then a point of  $P$  is on the stack  $S$  at termination if and only if it is a vertex of  $\text{CH}(P)$ .

# V. Jarvis' March

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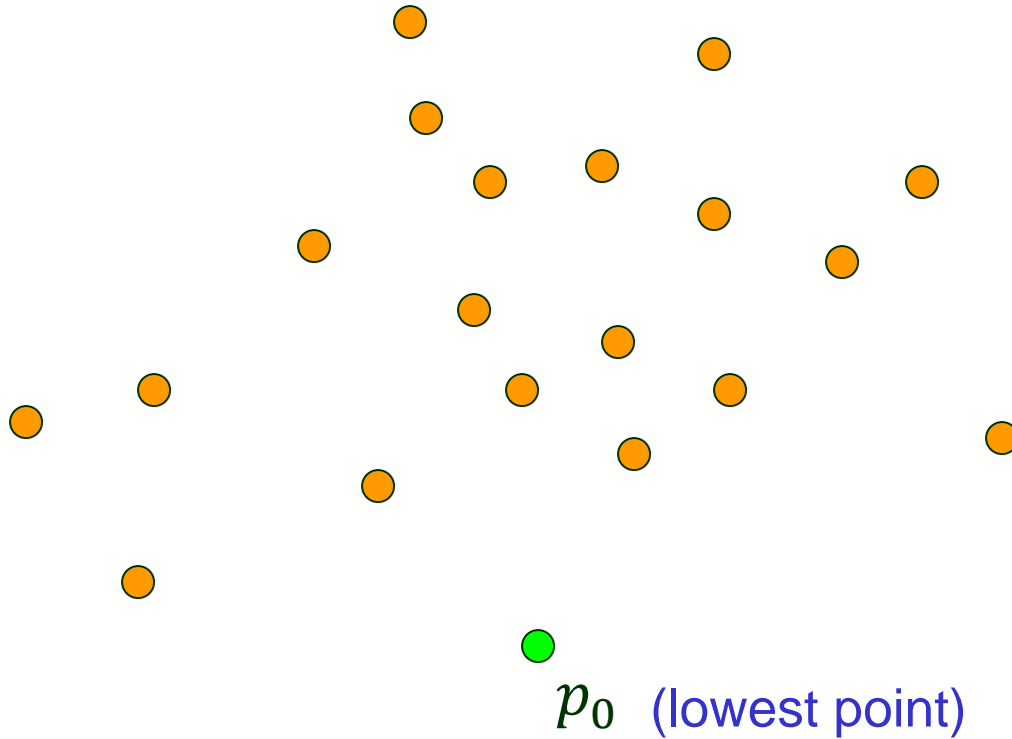
A “package wrapping” technique



# V. Jarvis' March

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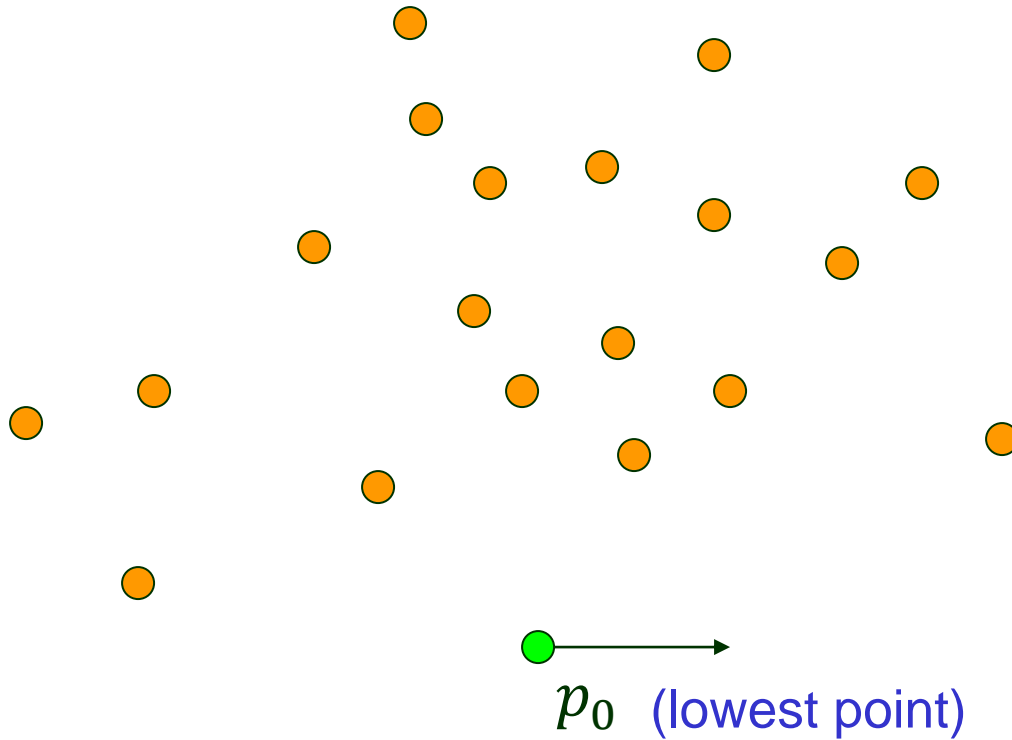
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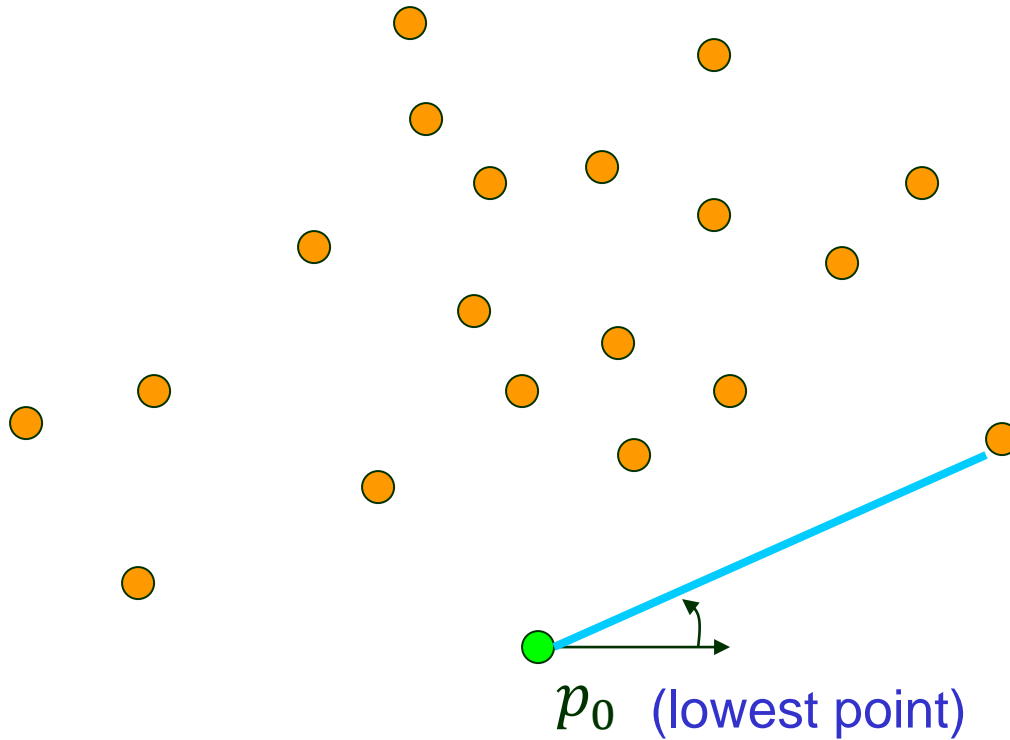
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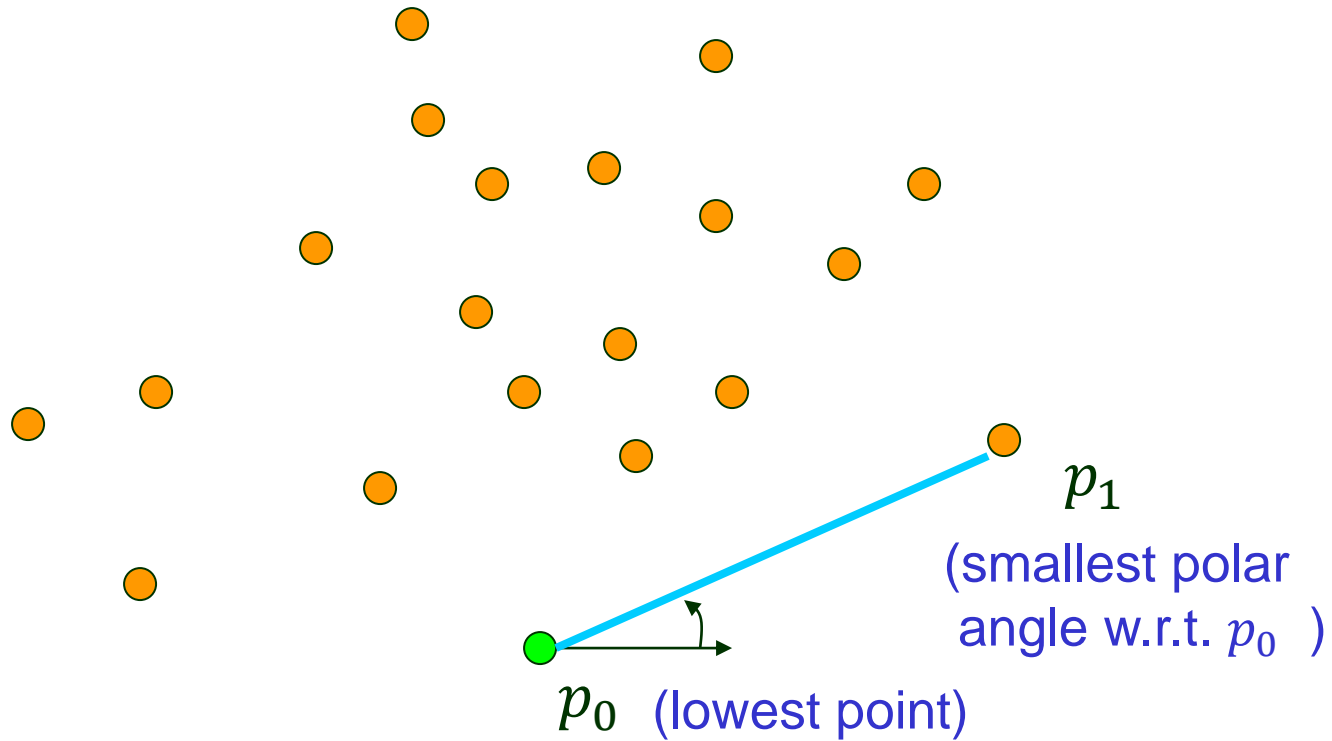
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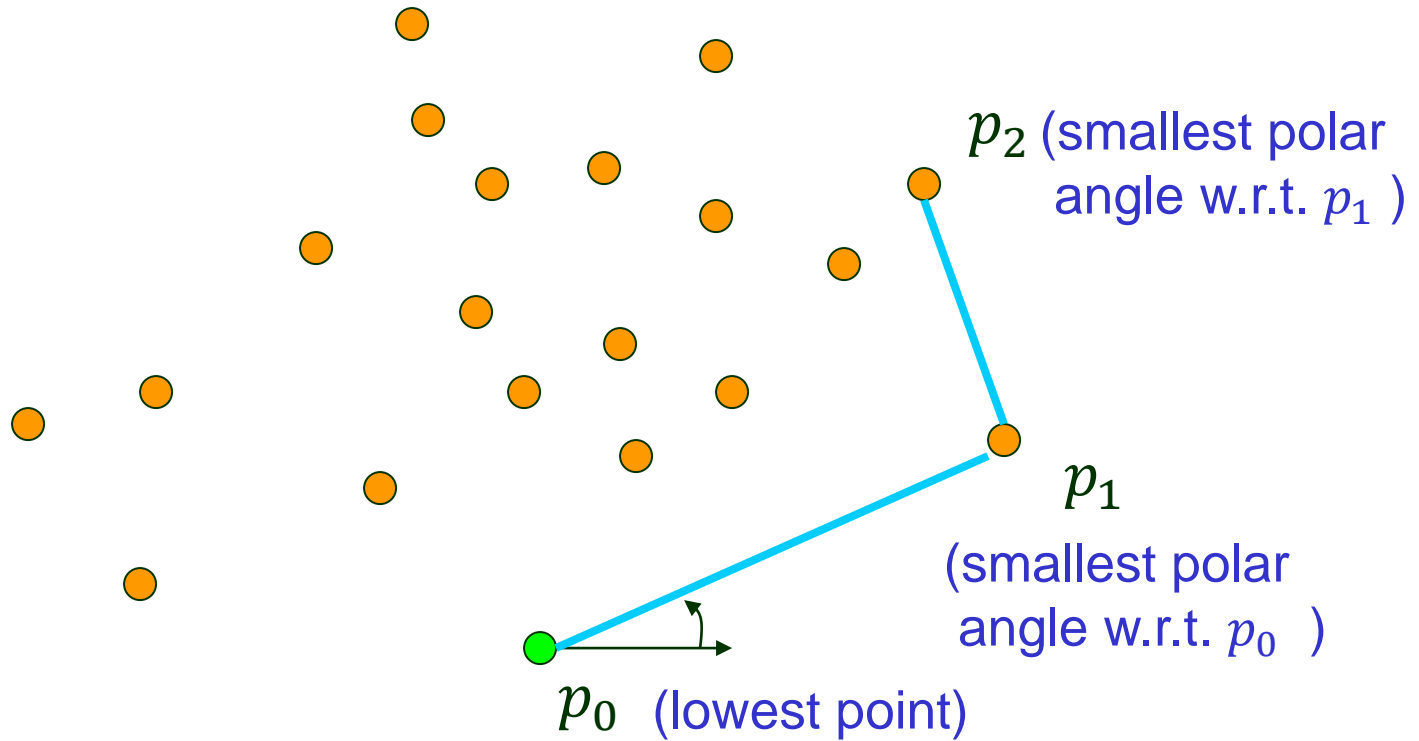
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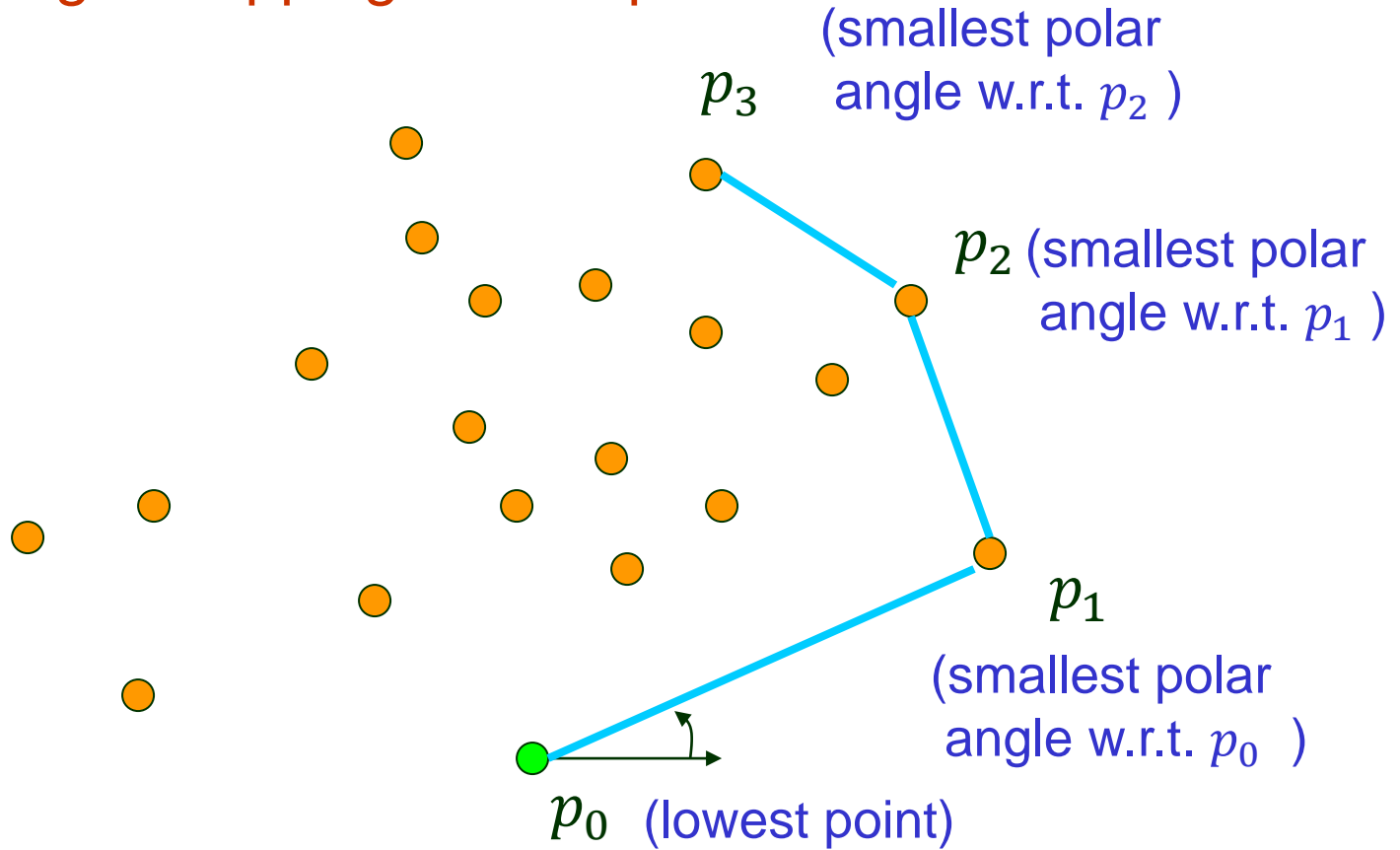
A “package wrapping” technique





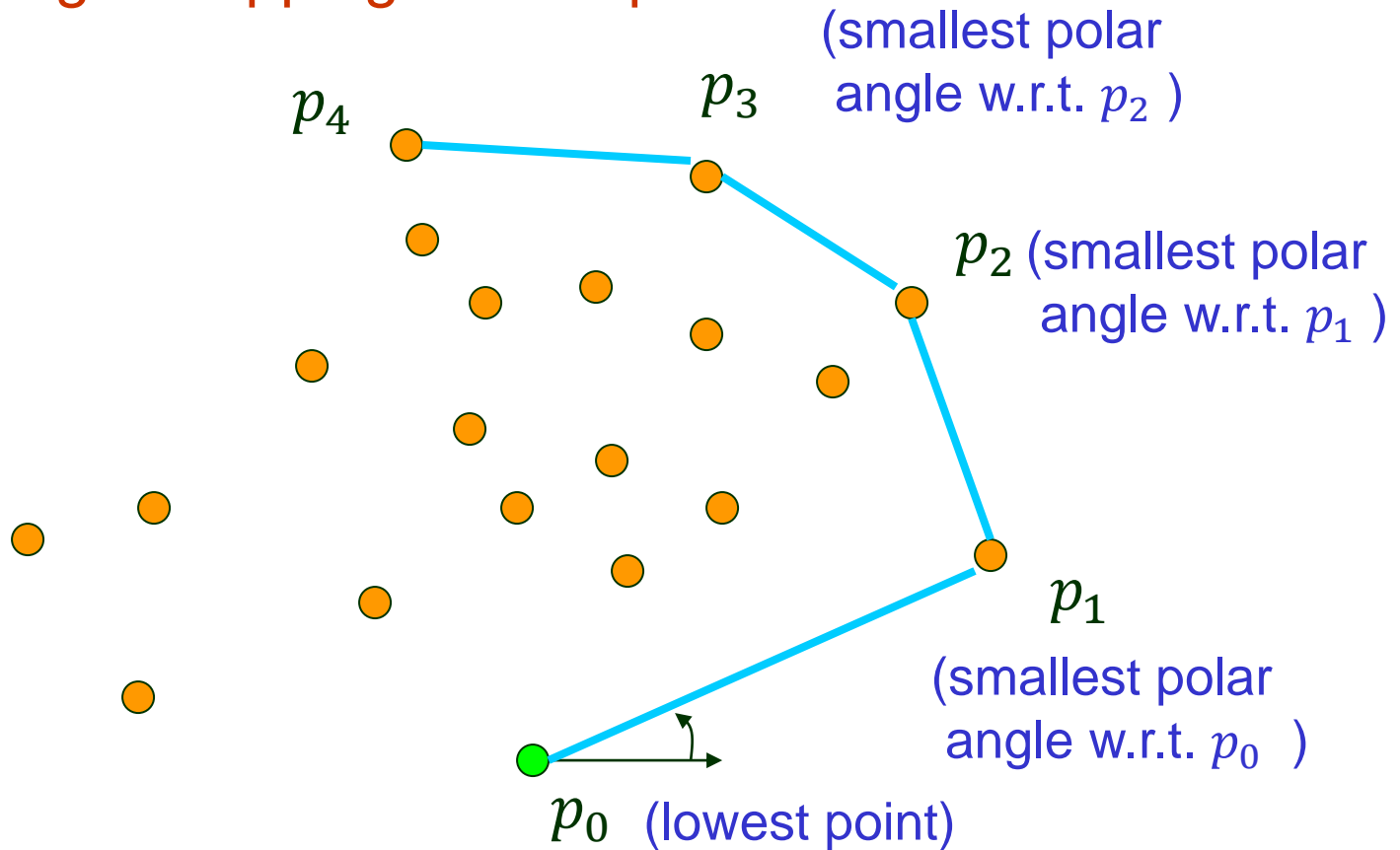
# V. Jarvis' March

A “package wrapping” technique



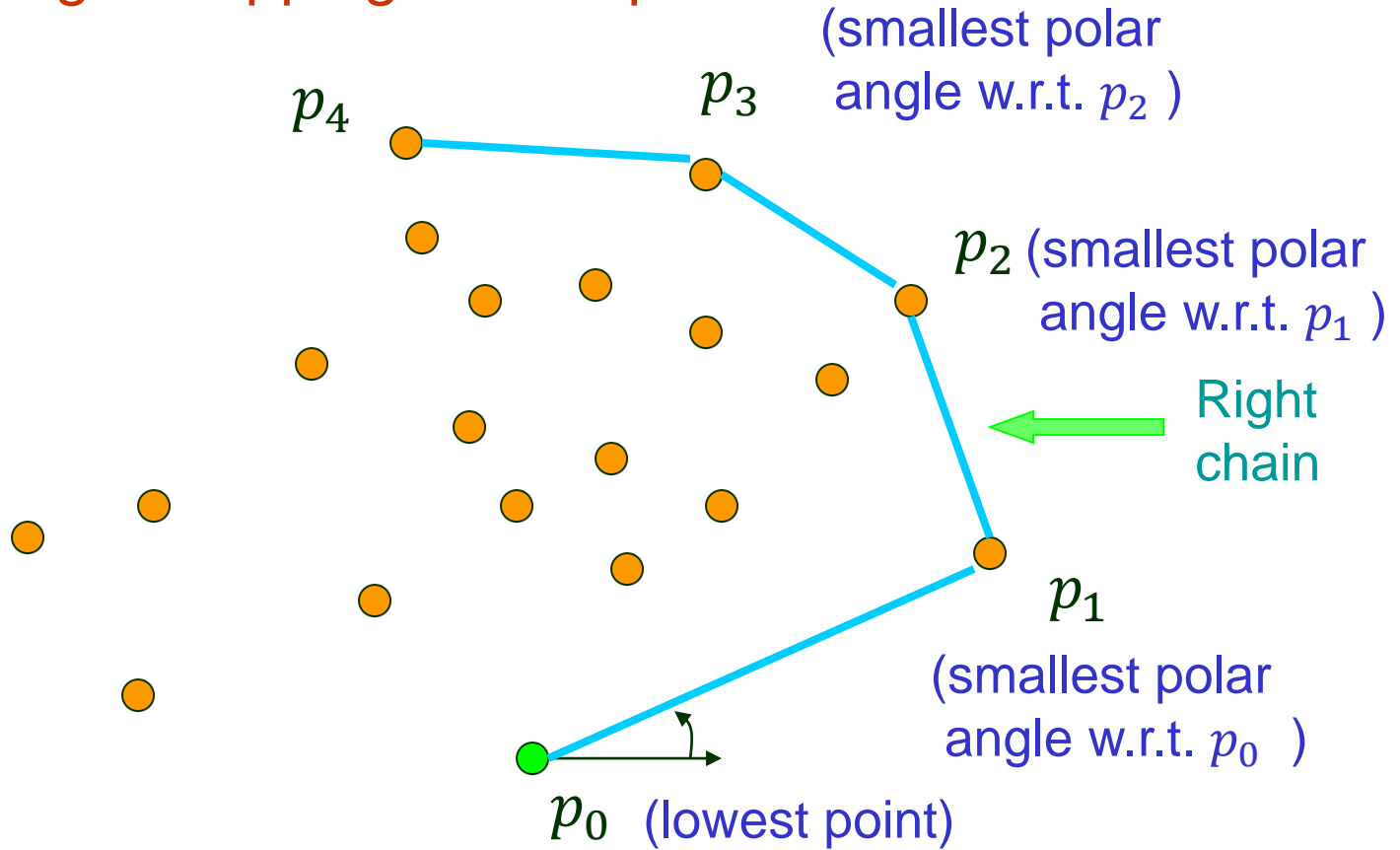
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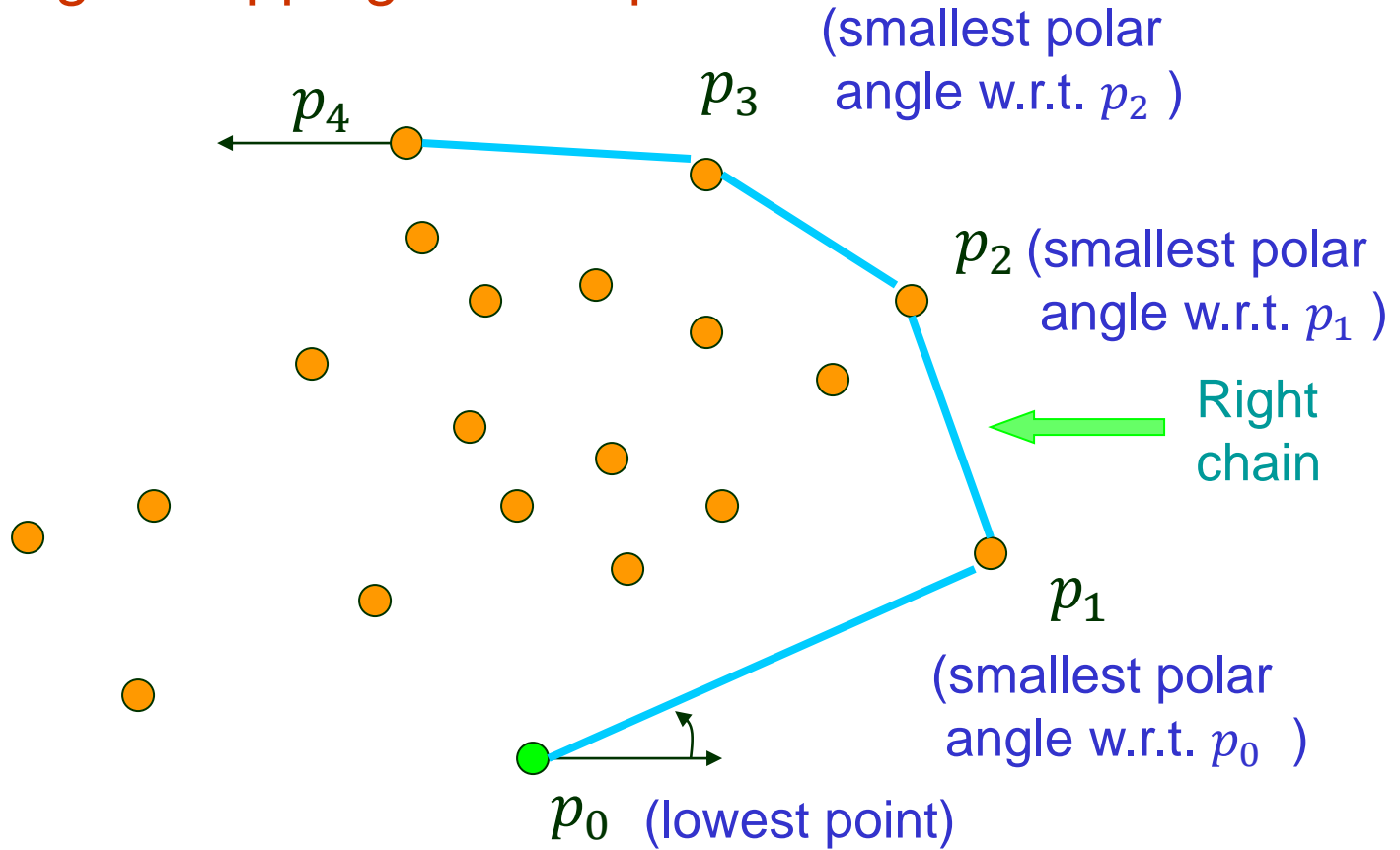
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A “package wrapping” technique



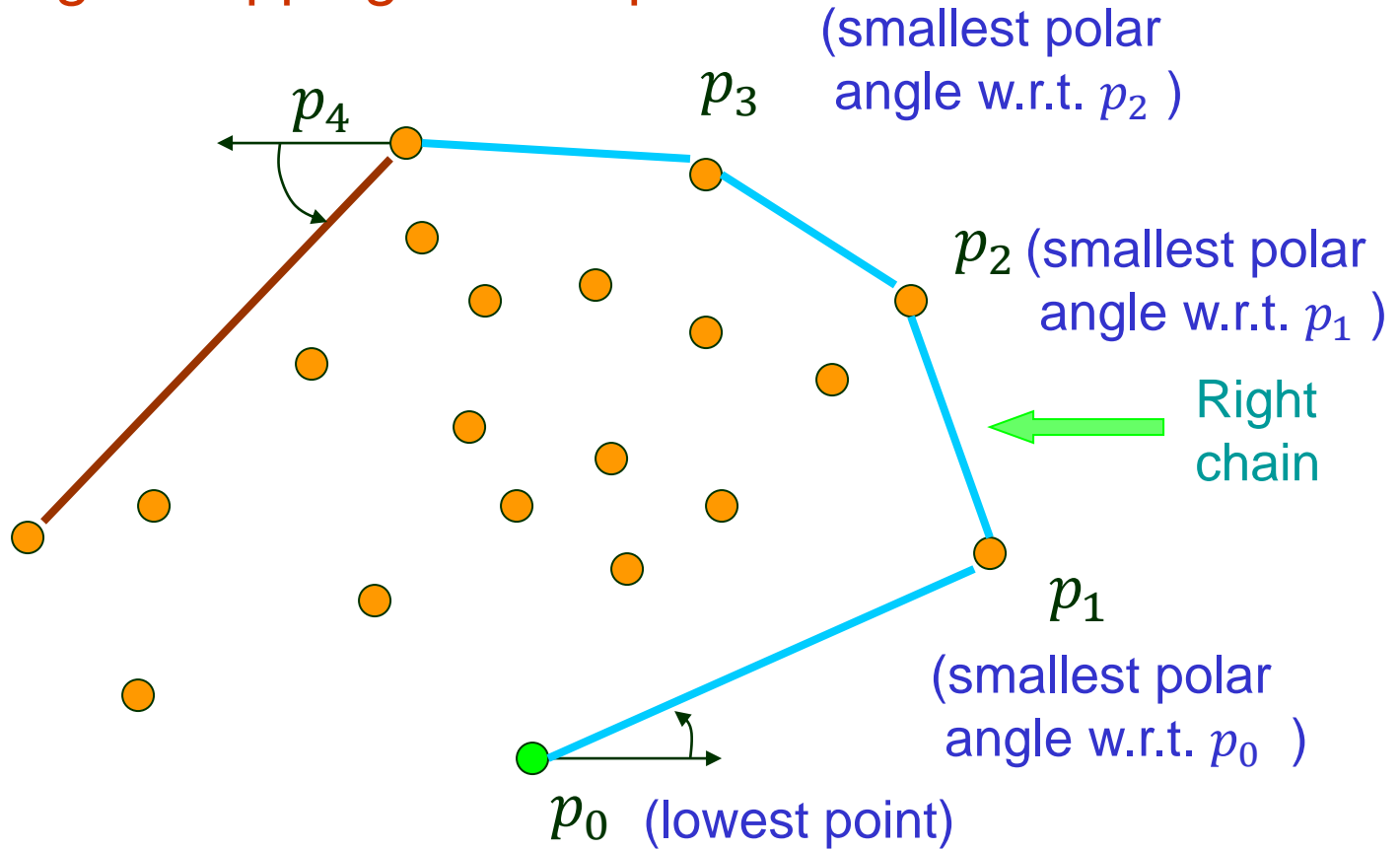
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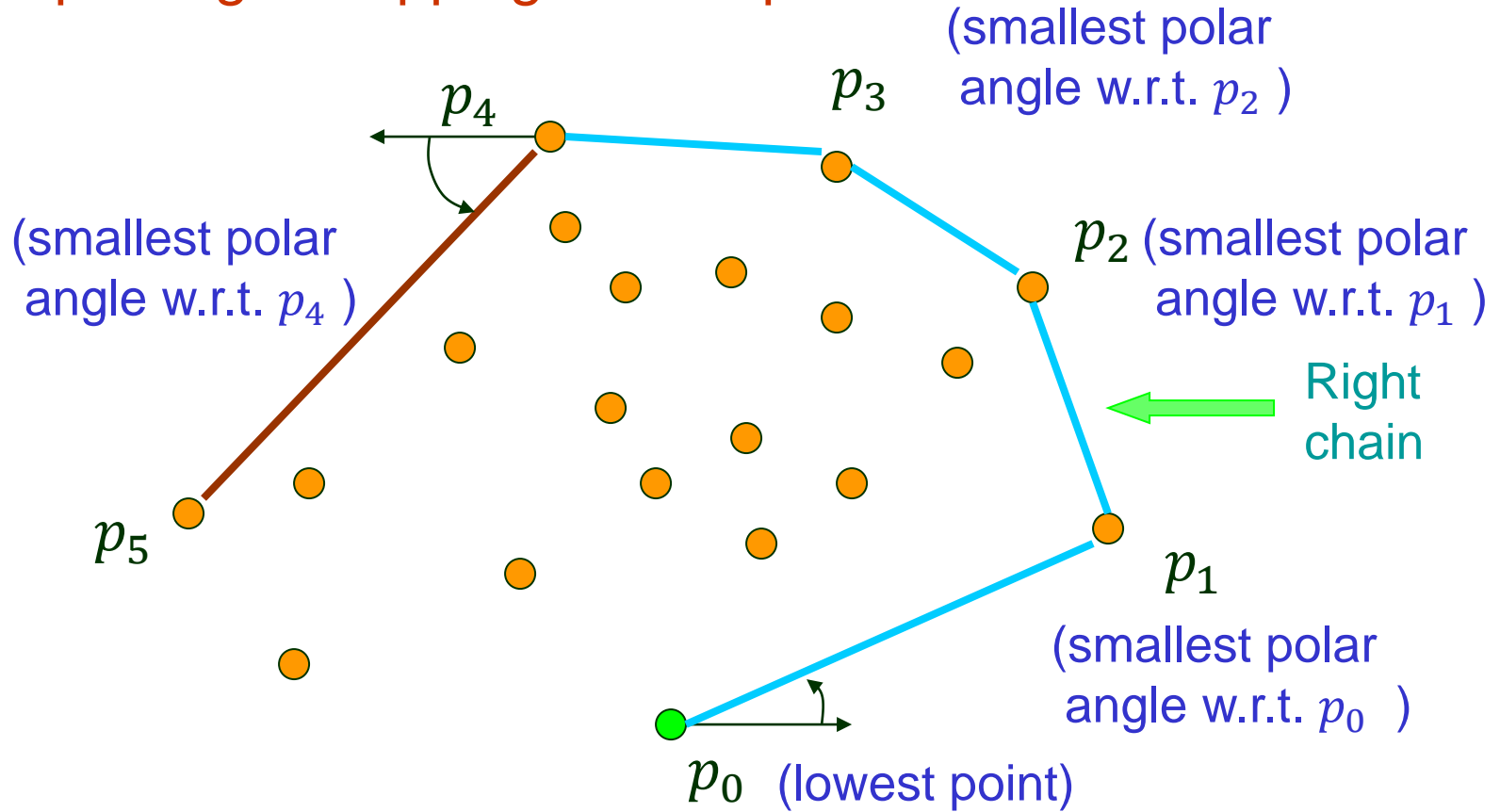
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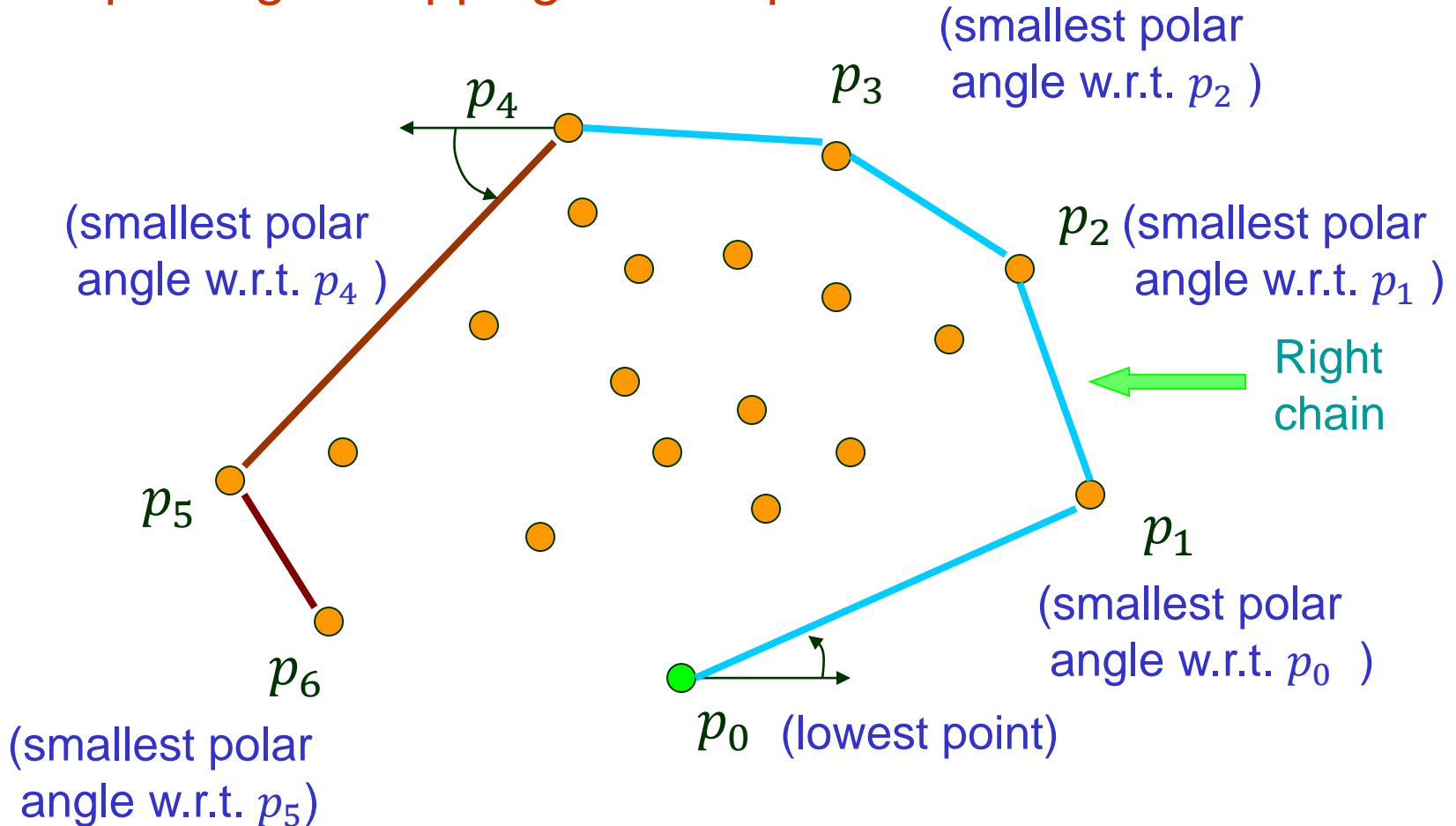
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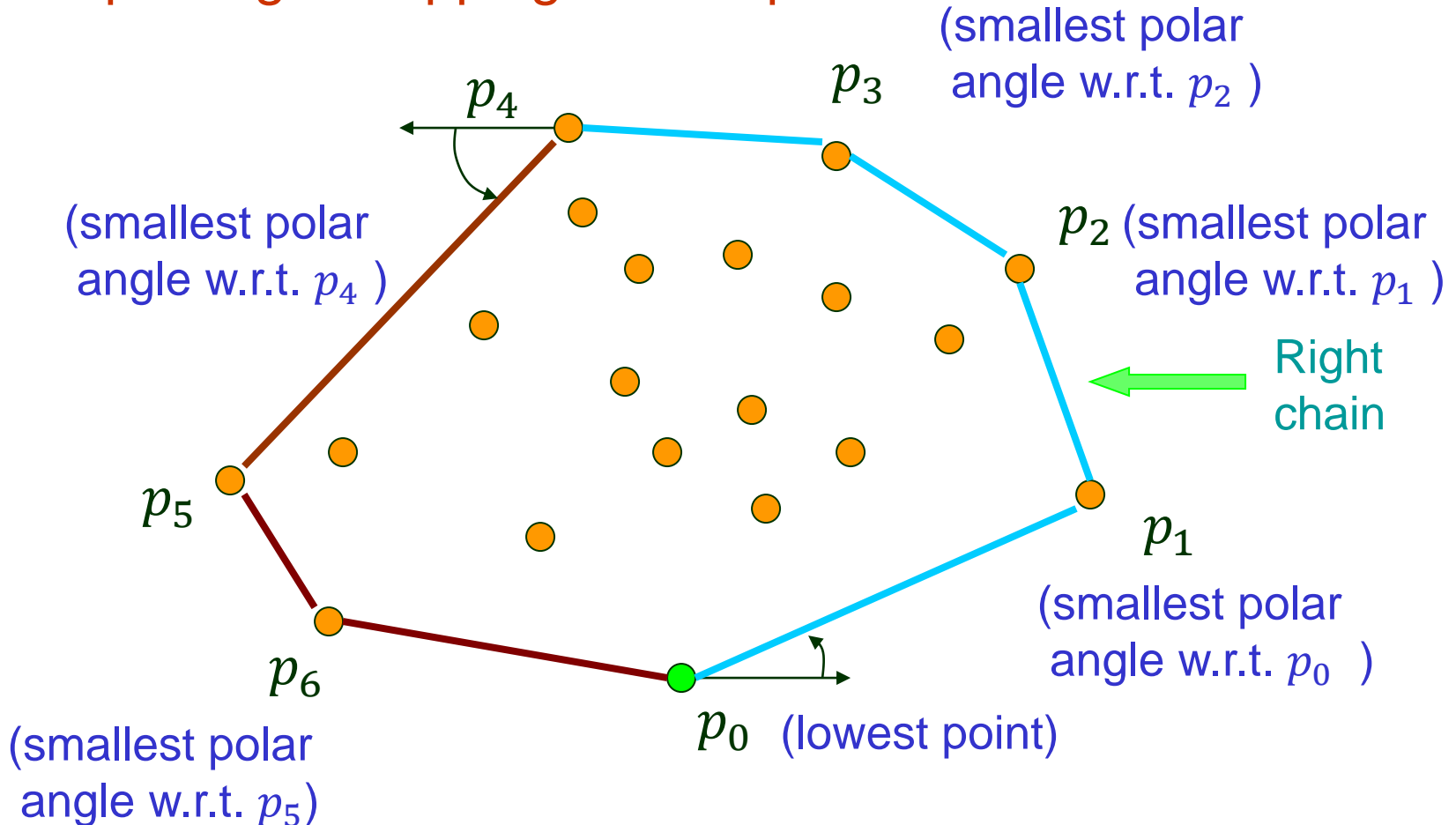
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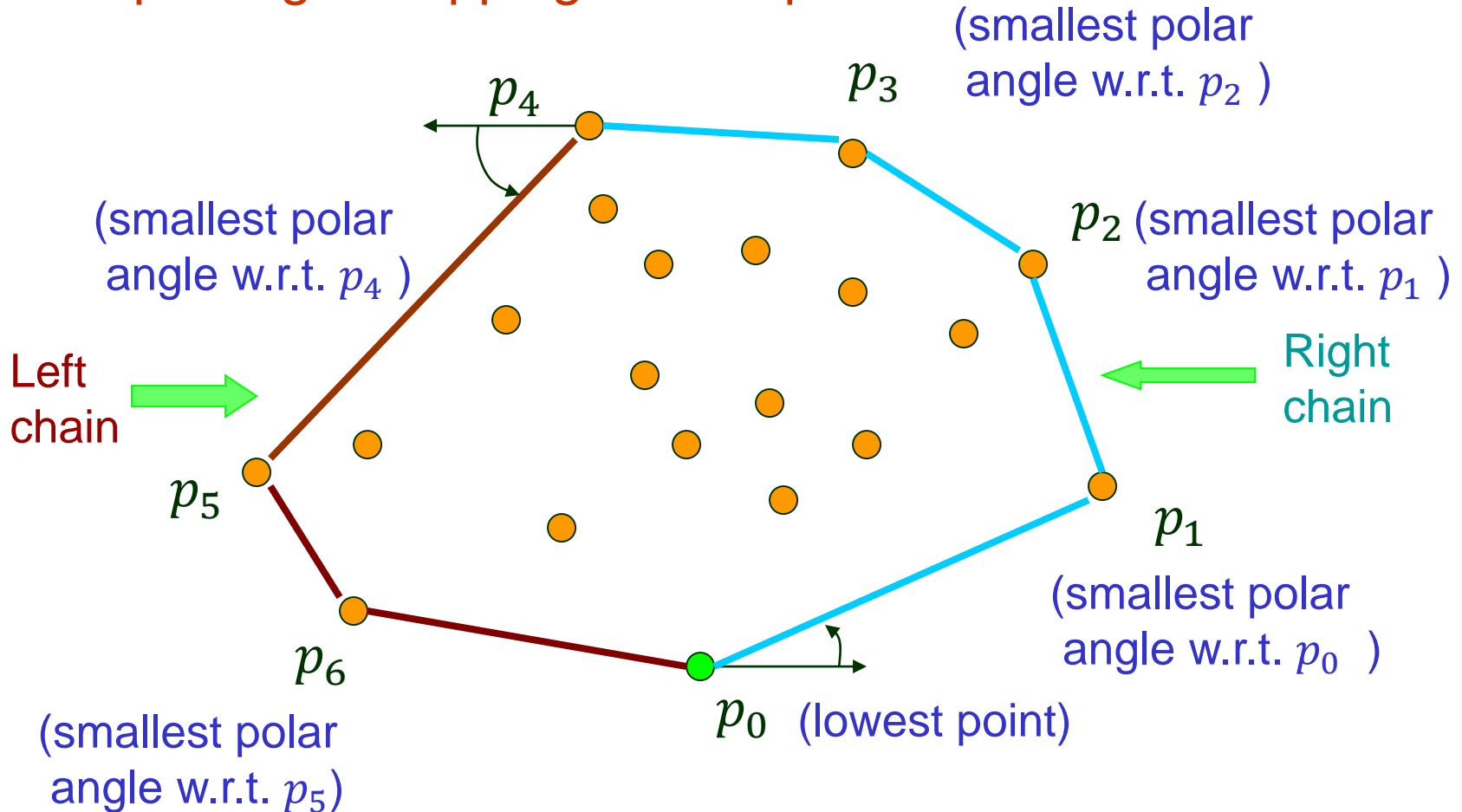
A "package wrapping" technique





# V. Jarvis' March

A "package wrapping" technique



# Running Time of Jarvis's March

---

Let  $h$  be the number of vertices of the convex hull.

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For each vertex, finding the point with the minimum Polar angle, that is, the next vertex, takes time  $O(n)$ .

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For each vertex, finding the point with the minimum Polar angle, that is, the next vertex, takes time  $O(n)$ .

Comparison between two polar angles can be done using cross product.

Thus  $O(nh)$  time in total.